

What's New in PFTs in 2026?

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What's New in PFTs in 2026?

Disclosures:

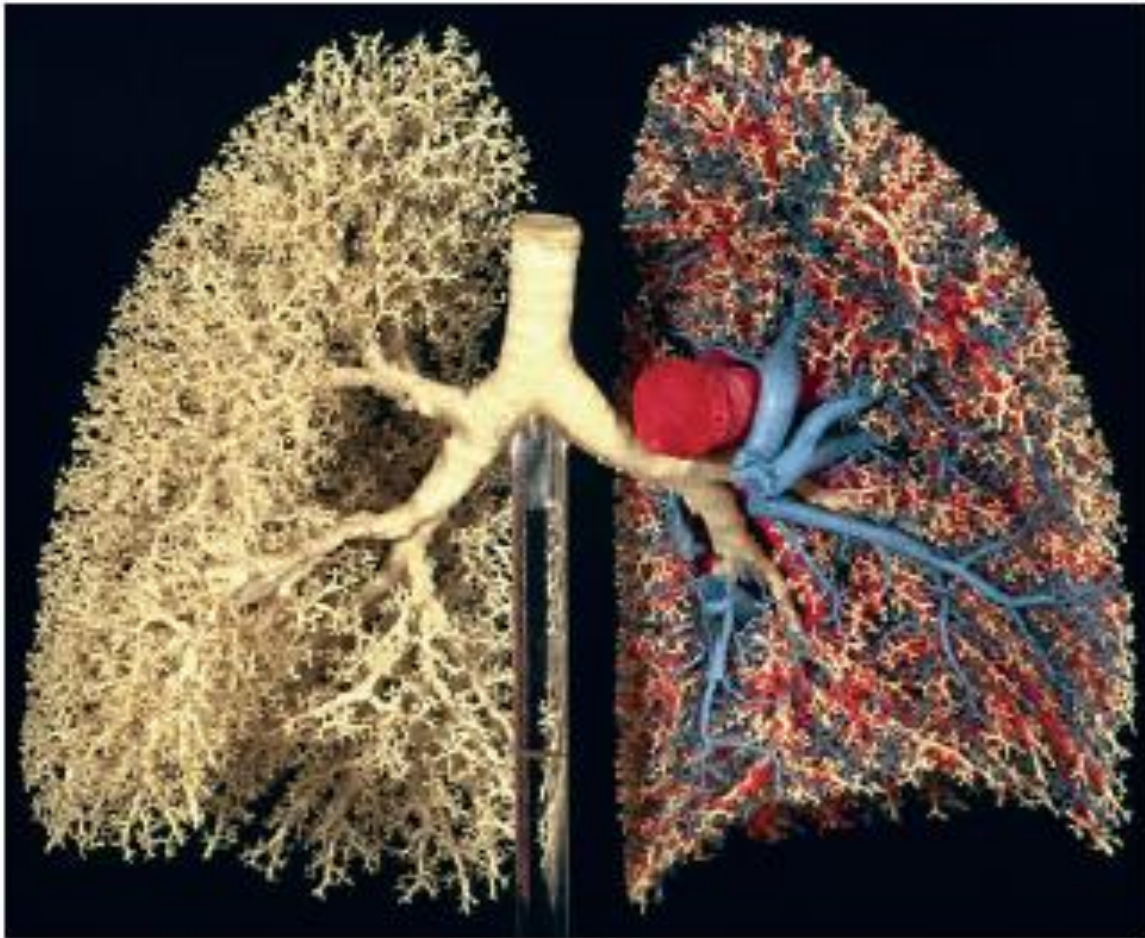
1. Speaker: MGC Diagnostics, Inc.
2. Contributor: UptoDate, Inc.; Elsevier, Inc.

What's New in PFTs in 2026?

Objectives:

1. Review key points of 2022 ERS/ATS interpretation standards
2. Appreciate why we should adopt race-neutral reference values
3. Recognize physiologic patterns of disease

The Complexities of the Human Lung



What makes a good lung?

The morphometric basis of lung function

Ewald R. Weibel

Institute of Anatomy, University of Bern, Bern, Switzerland

SWISS MED WKLY 2009;139(27-28):375-386 · www.smw.ch

- Large surface area for gas exchange (130 m²)
- Very thin air-blood interface (1 μm)
- Mechanical stability

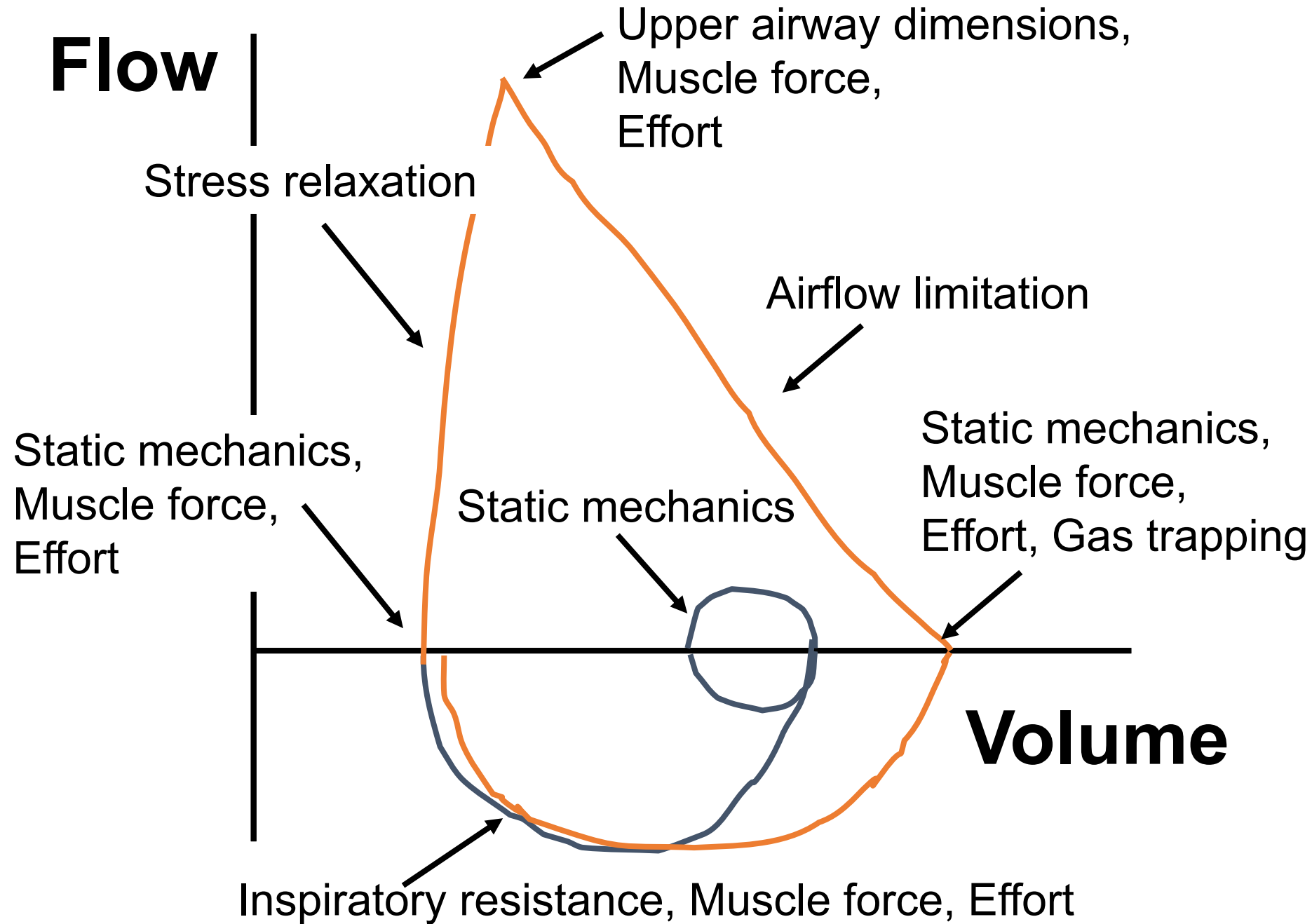
Challenges:

- Fitting surface area into chest cavity (5 L)
- Stabilizing huge surface area with little tissue
- Matching ventilation and perfusion

The Flow-Volume Loop

“The MEFV curve ... is an overall expression of the lung’s mechanical behavior...but (it) reflects a very complex system and a series of mechanical events that is very poorly understood”.

Hyatt and Black, Am Rev Respir Dis, 1973



Historical Perspective

The American Journal of Medicine

VOLUME 45

SEPTEMBER 1968

NUMBER 3

Editorial

A Quantitative Definition of
Obstructive Lung Disease

K. P. POIRIER, M.D.
Country Club Medical Center
2322 Butano Drive
Sacramento, California 95825

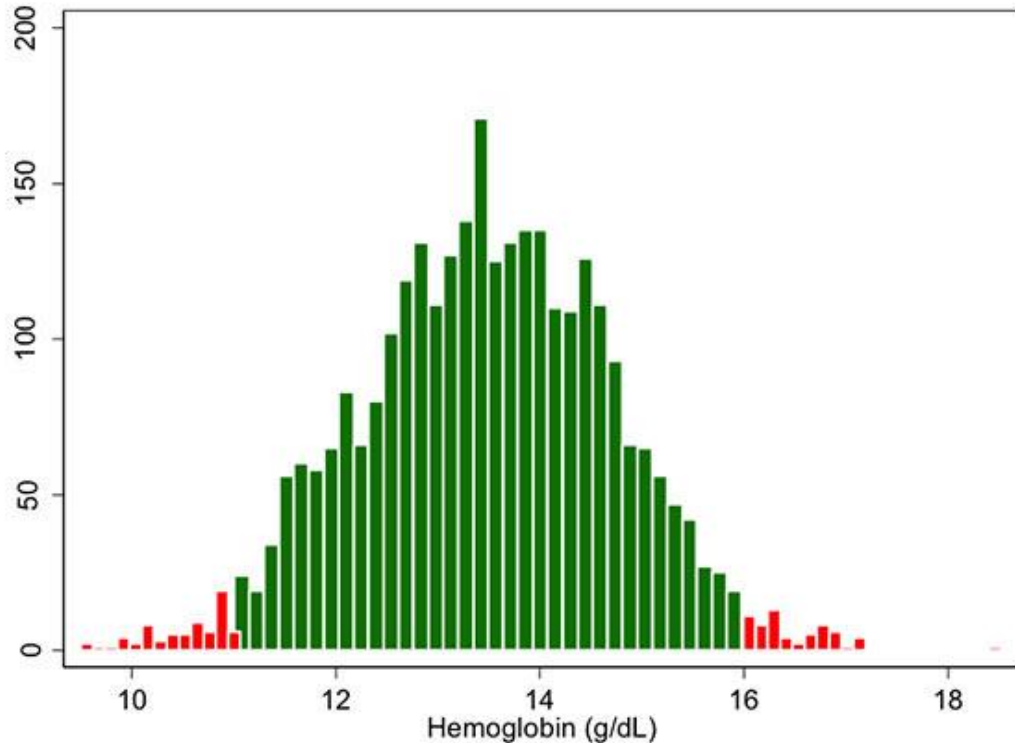
“... the general tendency in pulmonary function testing at the clinical level is toward cautiously noncommittal overinterpretation expressed in language replete with modifiers...”

What is a Normal Value?

	Ref Range & Units
<input checked="" type="checkbox"/> WBC	4.00 - 12.40 K/cmm
<input checked="" type="checkbox"/> RBC	3.86 - 5.04 M/cmm
<input checked="" type="checkbox"/> Hemoglobin	11.6 - 15.2 gm/dL
<input checked="" type="checkbox"/> HCT	34.9 - 44.4 %
<input checked="" type="checkbox"/> MCV	81 - 98 fl
<input checked="" type="checkbox"/> MCH	26.7 - 33.3 pg
<input checked="" type="checkbox"/> MCHC	32.1 - 35.9 gm/dL
<input checked="" type="checkbox"/> RDW-CV	<14.7 %
<input checked="" type="checkbox"/> RDW-SD	<50.4 fl
<input checked="" type="checkbox"/> PLT	141 - 377 K/cmm
<input checked="" type="checkbox"/> MPV	9.5 - 12.7 fl

	Ref Range & Units
<input checked="" type="checkbox"/> Sodium	136 - 145 mmol/L
<input checked="" type="checkbox"/> Potassium	3.5 - 5.0 mmol/L
<input checked="" type="checkbox"/> Chloride	96 - 110 mmol/L
<input checked="" type="checkbox"/> CO2 Total	22 - 32 mmol/L
<input checked="" type="checkbox"/> Glucose	70 - 100 mg/dL
<input checked="" type="checkbox"/> BUN	10 - 26 mg/dL
<input checked="" type="checkbox"/> Creatinine	0.52 - 1.04 mg/dL
<input checked="" type="checkbox"/> eGFR	>60 mL/min/1.73m2
<input checked="" type="checkbox"/> Total Protein	6.3 - 8.2 g/dL
<input checked="" type="checkbox"/> Albumin	3.4 - 4.9 g/dL
<input checked="" type="checkbox"/> Alkaline Phosphatase	38 - 126 U/L
<input checked="" type="checkbox"/> AST	15 - 46 U/L
<input checked="" type="checkbox"/> ALT	<35 U/L
<input checked="" type="checkbox"/> Bilirubin, Total	<1.4 mg/dL
<input checked="" type="checkbox"/> Calcium	8.5 - 10.5 mg/dL
<input checked="" type="checkbox"/> Albumin/Globulin Ratio	1.0 - 2.5
<input checked="" type="checkbox"/> Anion Gap	8 - 16

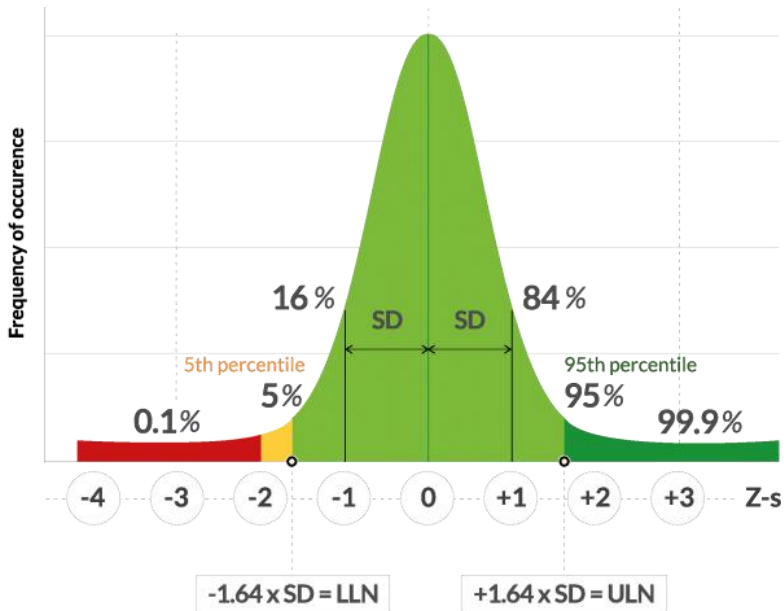
What is a Normal Value?



- Usually determined from a large number of observations derived from a reference population of “healthy” individuals.
- The normal range commonly chosen as 95% of this distribution, setting cut-offs at the bottom and top 2.5% of the population.
- This means people in the “tails” will be labeled as abnormal when they are actually healthy.

Normal Lung Function:

> **LLN (5th percentile, z-score = -1.64)**



LLN = lower limit of normal
ULN = upper limit of normal

In spirometry, we are only concerned about low values, not high values, so the LLN is set at the 5th percentile.

	Pre-BD			
	<u>Actual</u>	<u>LLN</u>	<u>Z Score</u>	<u>% Pred</u>
--- SPIROMETRY ---				
FVC (L)	4.67	3.13	1.67	120
FEV1 (L)	3.50	2.71	0.28	103
FEV1/FVC (%)	74.96	78.10	-2.17	85
FEF 25-75% (L/sec)	2.85	2.61	-1.34	72
PEF (L/min)	450.6			
TestGrade(ATS)	AA			

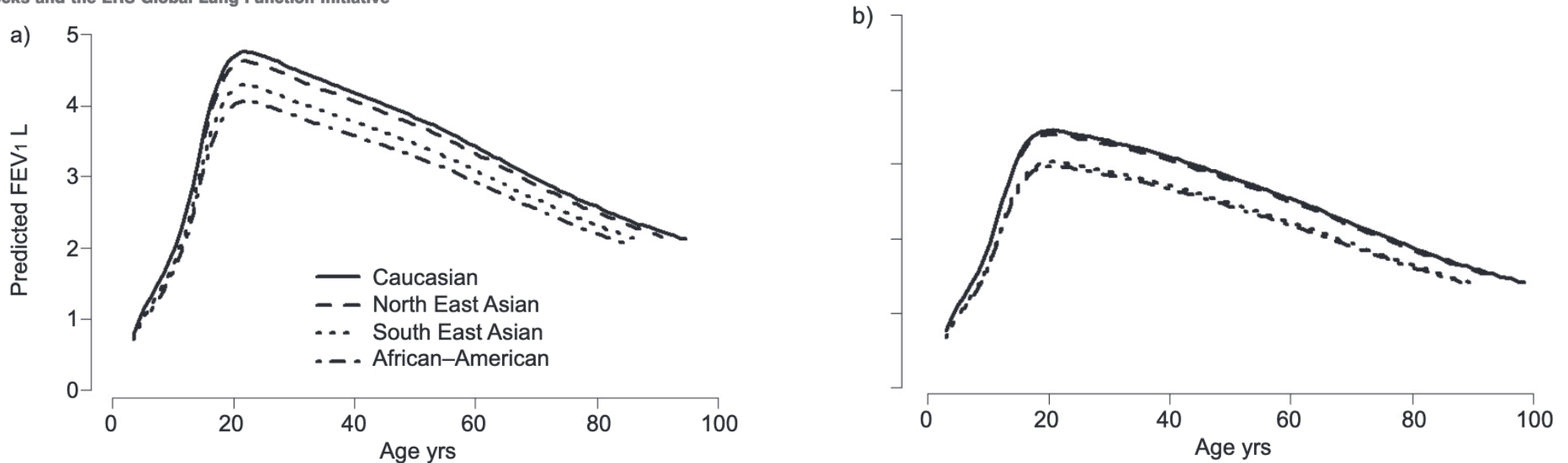
Lung Function Reference Values

ERS TASK FORCE

Multi-ethnic reference values for spirometry for the 3–95-yr age range: the global lung function 2012 equations

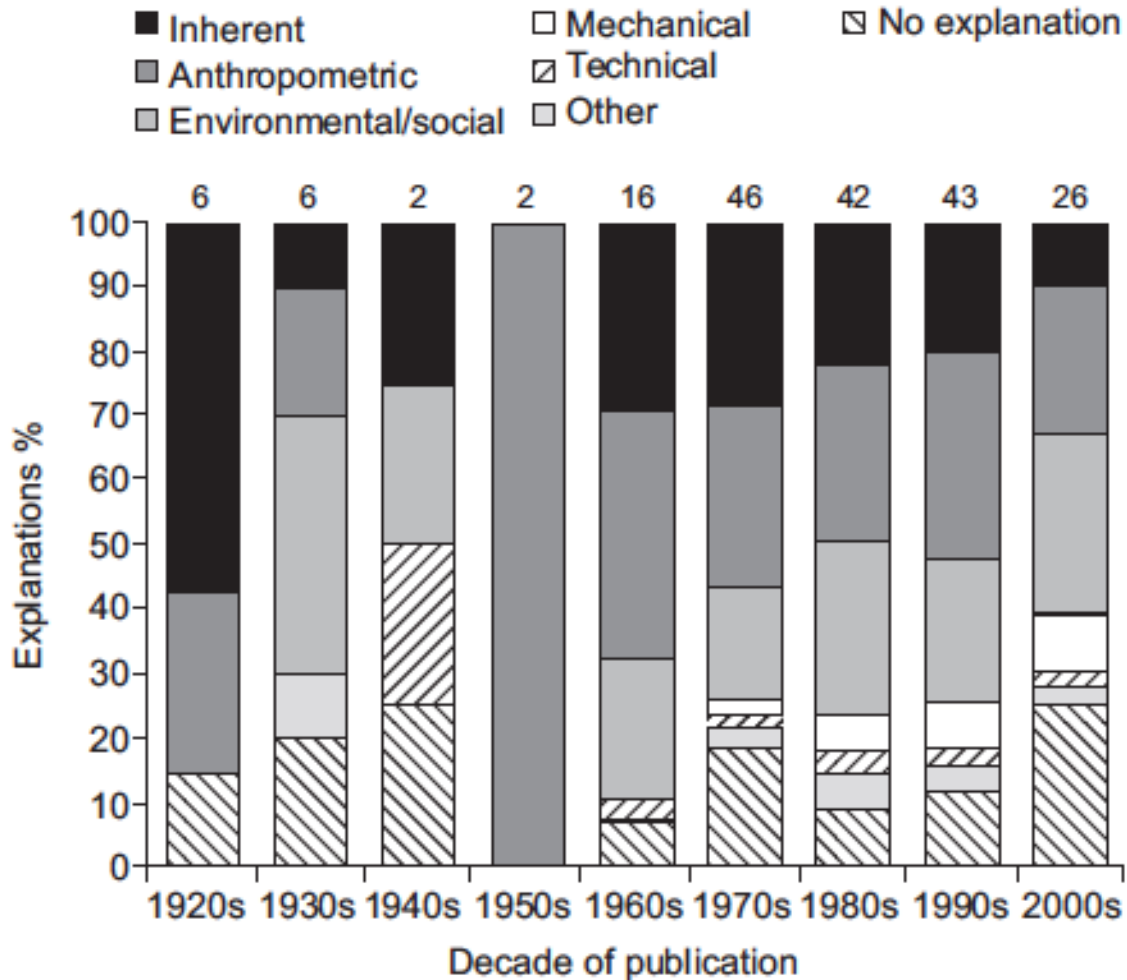
Philip H. Quanjer, Sanja Stanojevic, Tim J. Cole, Xaver Baur, Graham L. Hall, Bruce H. Culver, Paul L. Enright, John L. Hankinson, Mary S.M. Ip, Jinping Zheng, Janet Stocks and the ERS Global Lung Function Initiative

Eur Respir J 2012; 40: 1324–1343
DOI: 10.1183/09031936.00080312
Copyright©ERS 2012



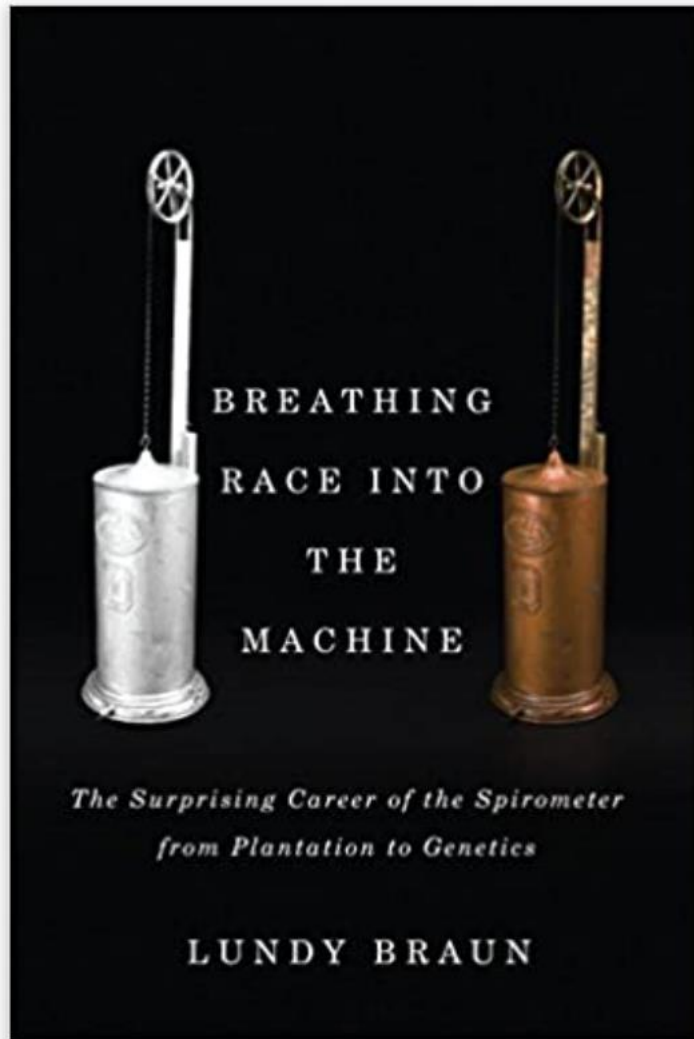
- Lung size varies with **Age, Sex and Height**
- Lung size varies with self-identified racial or ethnic backgrounds

Most of Lung Function Literature Fails to Take into Account non-Anthropomorphic Factors



- Literature review of 226 articles 1922-2008
- Variable definition of “race”, “ethnicity”
- 84% reported “other racial/ethnic groups” have lower lung function than “white”
- **94% failed to examine socioeconomic status**
 - 22% cited inherent/anthropometric factors
 - 23% cited environmental/social factors

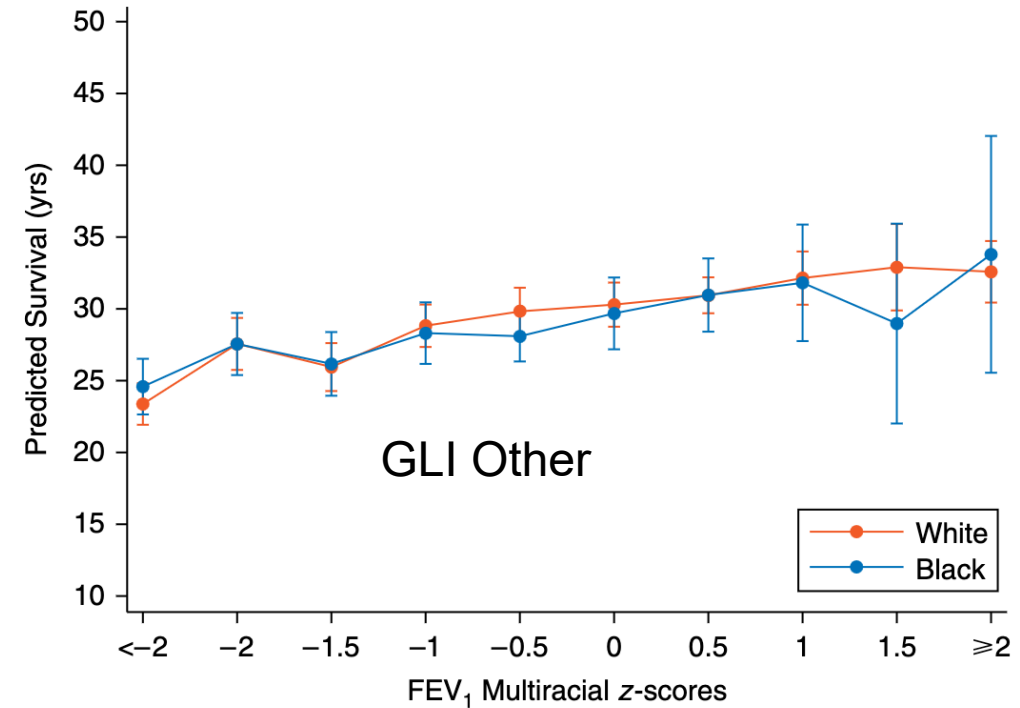
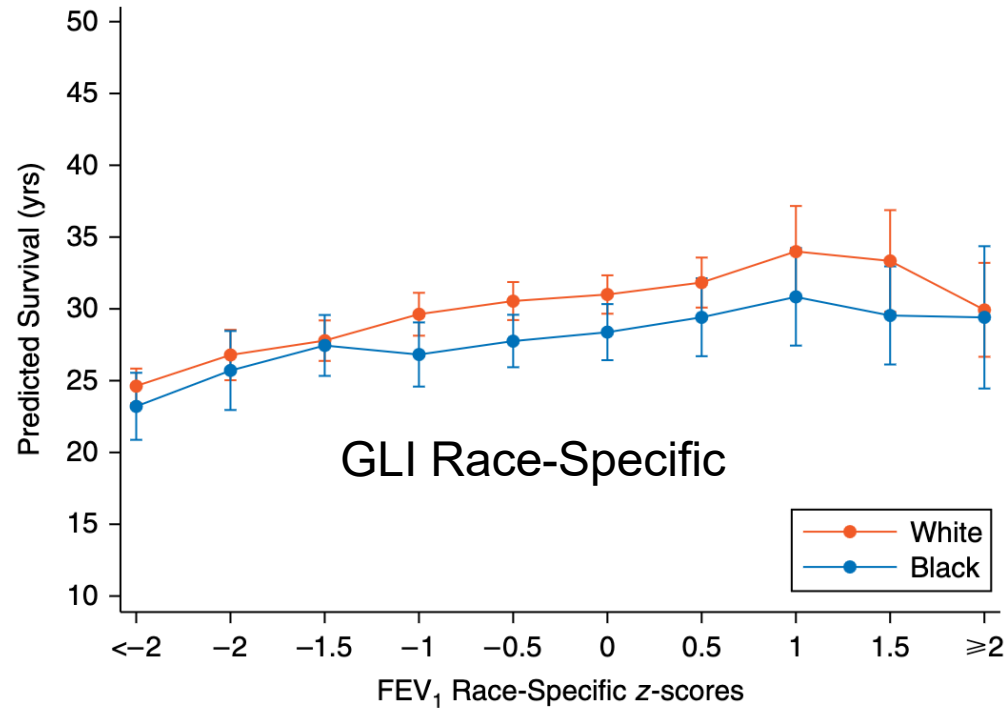
Influence of Race and Ethnicity on Lung Function



Today most commercially available spirometers, used around the world to diagnose and monitor respiratory illness, have a “race correction” built into the software, which controls for the assumption that blacks have less lung capacity than whites. In her 2014 book, [“Breathing Race Into the Machine: The Surprising Career of the Spirometer from Plantation to Genetics,”](#) Lundy Braun, a Brown University professor of medical science and Africana studies, notes that “race correction” is still taught to medical students and described in textbooks as scientific fact and standard practice.

Race-Specific Equations Lead to Overestimation of Mortality in African-Americans

Applying GLI-race specific or GLI-Other to NHANES III Population



For a given age, sex, height and absolute FVC, mortality is similar between Black and White individuals. But if use race-specific equations, mortality now seems higher in Black individuals for a given % predicted value (which corresponds to a lower absolute value in Black than in White individuals).

Illustrating the Issue of Race and Lung Function Interpretation

DP was a 22-year-old, healthy, non-smoking male college graduate who was born in India and was pursuing his education in the US.

Using NHANES III (Caucasian)

	Measured	LLN	Predicted	%Predicted
FVC(L)	4.22	5.21	5.49	77
FEV1(L)	3.63	4.26	4.51	80
FEV1/FVC	0.86	0.80	0.84	

Using Fulambarker, 2010 (Indian)

	Measured	LLN	Predicted	%Predicted
FVC(L)	4.22	4.06	4.28	99
FEV1(L)	3.63	3.32	3.52	103
FEV1/FVC	0.86	0.75	0.86	

Using GLI-Global (race neutral)

	Measured	LLN	Predicted	%Predicted
FVC(L)	4.22	3.83	4.88	86
FEV1(L)	3.63	3.31	4.21	86
FEV1/FVC	0.86	0.75	0.86	

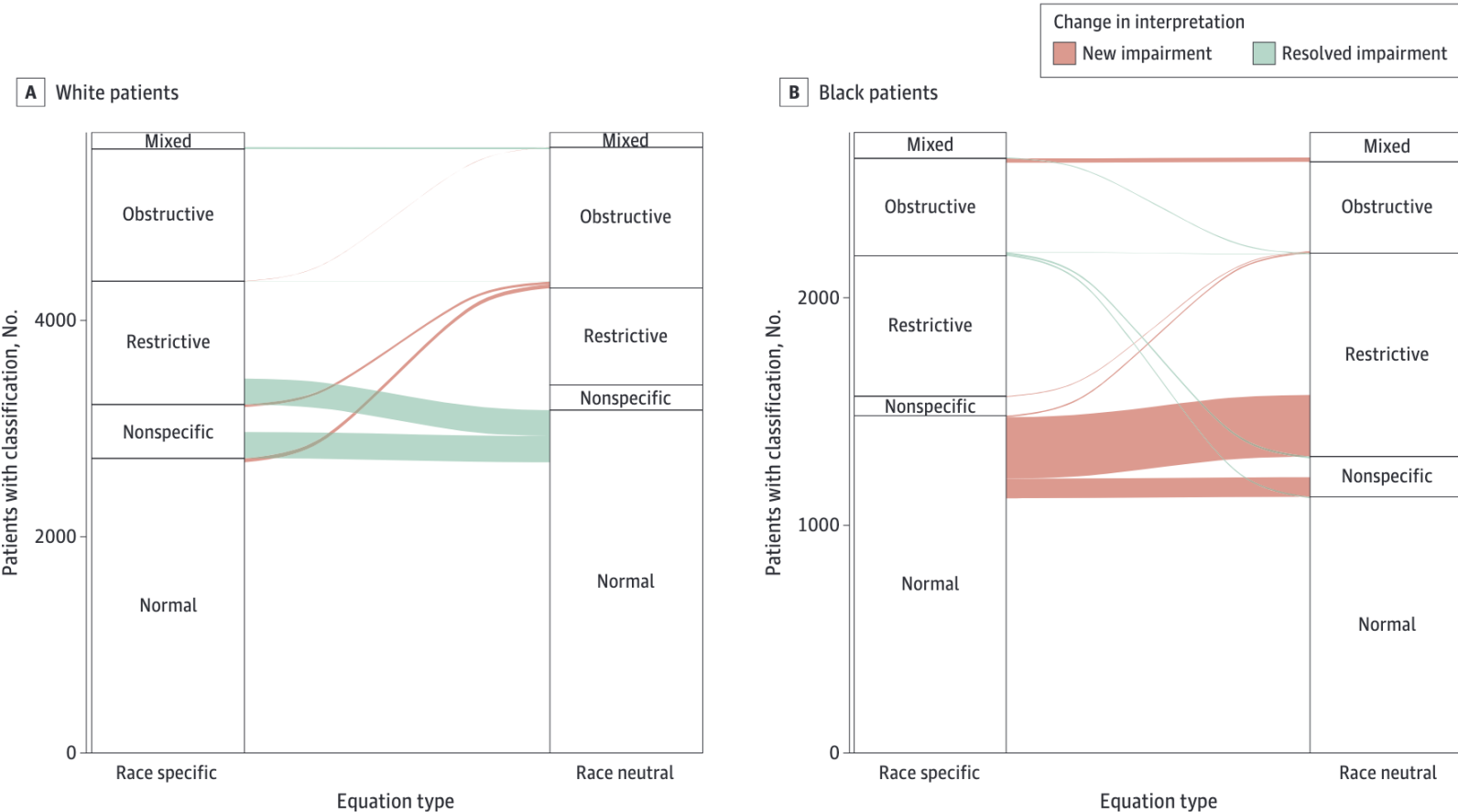
What do we tell him?



Normal lung function

Effects of Changing to Race-Neutral Reference Equations on Identification of Disease and on Disease Severity

Prevalence of restriction in White patients decreased from 23 to 18%



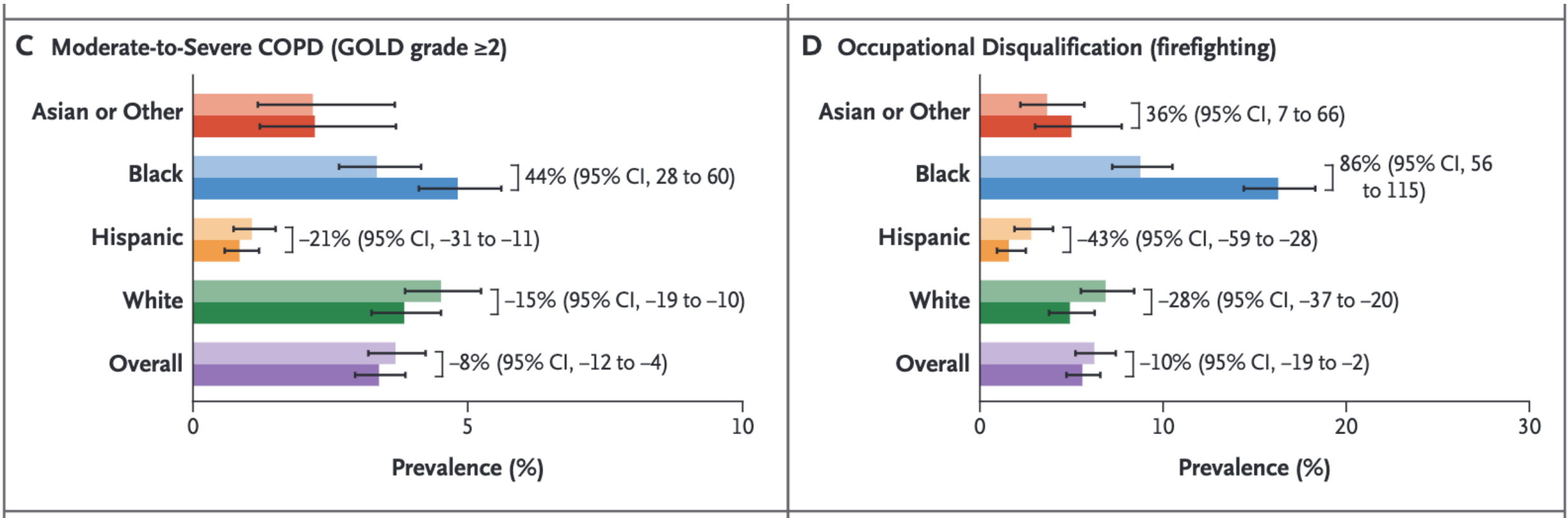
Prevalence of restriction in Black patients increased from 27 to 37%

N = 2700 Black and 5700 White patients
 University of Pennsylvania

Moffett, JAMA Network Open 2023

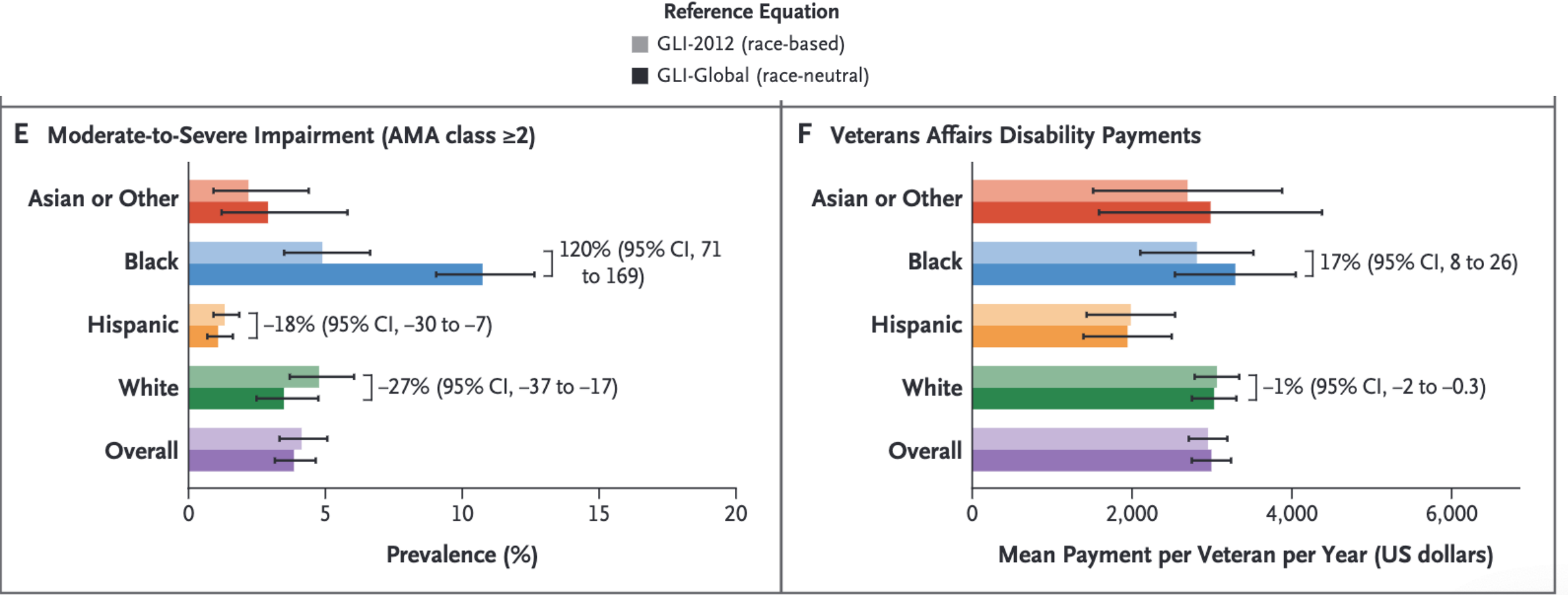
Effects of Changing to Race-Neutral Reference Equations on Identification of Disease and on Outcomes

Reference Equation
 ■ GLI-2012 (race-based)
 ■ GLI-Global (race-neutral)



Projecting data from ~370K people in US, UK when changing from GLI 2012 to GLI Global, onto US population age 6-79 yrs

Effects of Changing to Race-Neutral Reference Equations on Identification of Disease and Outcomes



Projecting data from ~370K people in US, UK when changing from GLI 2012 to GLI Global, onto US population age 6-79 yrs

How Should We Proceed?

Move From:

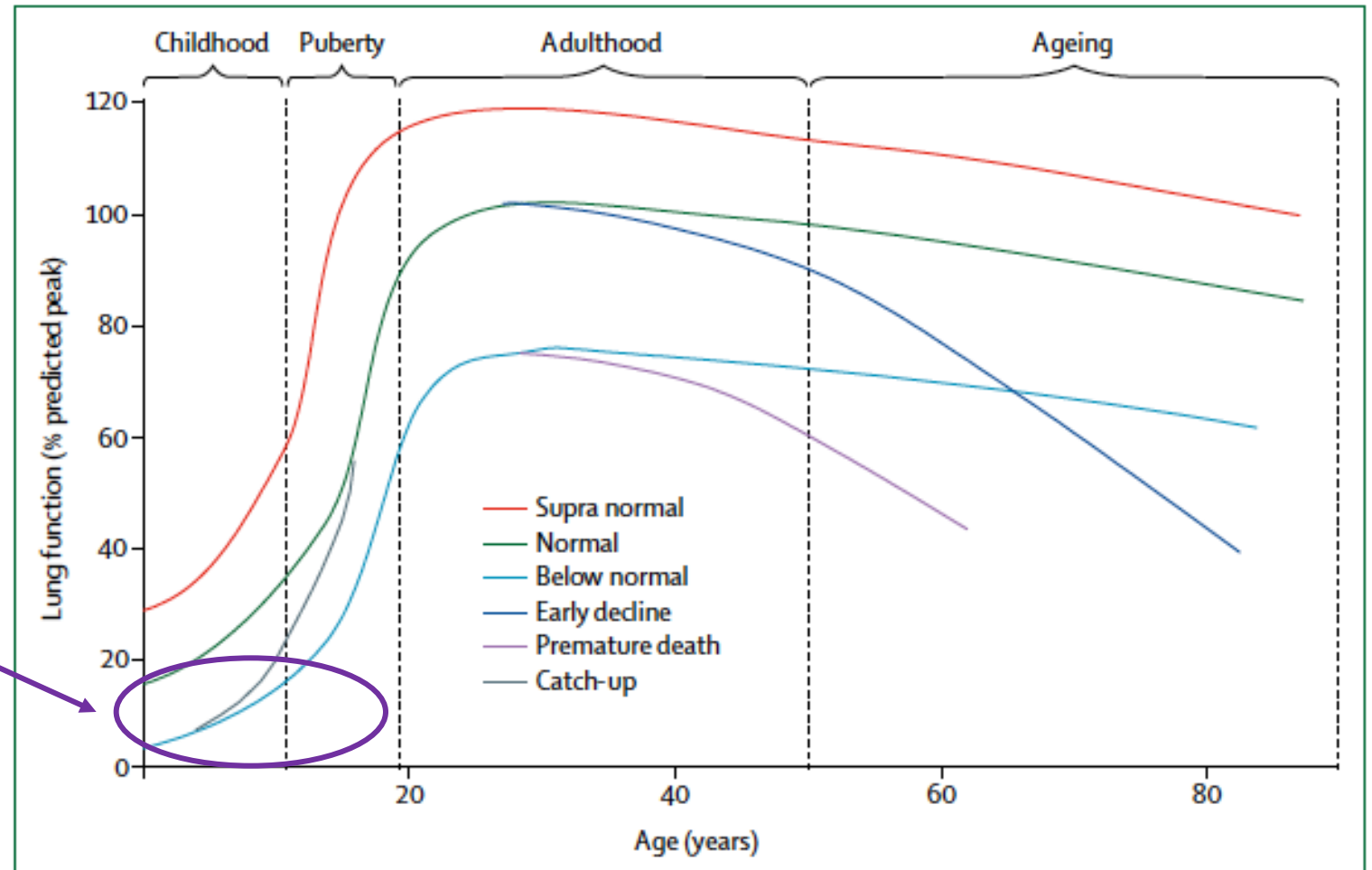
Race-based medicine approach: using race-specific equations assumes that race explains differences in lung function.

To:

Race-conscious medicine approach: using race-neutral equations that attempt to define normal lung health among all humans. Any differences found from normal causes us to consider multiple factors associated with “race” that may be modifiable to improve lung health.

Early Origins of Adult Respiratory Disease

Modifying risk factors early is key to being able to influence and optimize early lung growth



Different Trajectories of Lung Health Dependent on Early Life Factors



EUROPEAN RESPIRATORY *journal*

FLAGSHIP SCIENTIFIC JOURNAL OF ERS

ERS/ATS technical standard on interpretive strategies for routine lung function tests

Sanja Stanojevic, David A. Kaminsky, Martin Miller, Bruce Thompson, Andrea Aliverti, Igor Barjaktarevic, Brendan G. Cooper, Bruce Culver, Eric Derom, Graham L. Hall, Teal S. Hallstrand, Joerg D. Leuppi, Neil MacIntyre, Meredith McCormack, Margaret Rosenfeld, Erik R. Swenson

Eur Respir J. 2021 Dec 23:2101499. doi: 10.1183/13993003.01499-2021.

ERS/ATS technical standard on interpretive strategies for routine lung function tests

2005 ATS/ERS Statement	2021 ATS/ERS Technical Standard
<p><u>General comments:</u></p> <ul style="list-style-type: none"> • Using PFT interpretation to aid in clinical diagnosis and decision making 	<p><u>General comments:</u></p> <ul style="list-style-type: none"> • More emphasis on using PFTs to classify physiology, not make a clinical diagnosis • Emphasis on uncertainty of interpretation, especially near LLN
<p><u>Reference Equations</u></p> <ul style="list-style-type: none"> • Use of race/ethnic specific equations preferred over using adjustment factors • Spirometry: <ul style="list-style-type: none"> In USA: NHANES 3 recommended In Europe: no specific equations recommended • Lung Volumes and DLCO: <ul style="list-style-type: none"> In USA and Europe: no specific equations recommended 	<p><u>Reference Equations:</u></p> <ul style="list-style-type: none"> • Recommendation to use GLI reference equations for spirometry, lung volumes and DLCO • More emphasis on incomplete understanding of role of race/ethnicity on lung function • Clarify that biological sex, not gender be used to interpret lung function

ERS/ATS technical standard on interpretive strategies for routine lung function tests

2005 ATS/ERS Statement	2021 ATS/ERS Technical Standard
<p><u>Defining Normal Range</u></p> <ul style="list-style-type: none">• General use of LLN = 5th percentile• Use of fixed ratio FEV₁/FVC < 0.7 not recommended• Use of 80% predicted to define normal not recommended	<p><u>Defining Normal Range</u></p> <ul style="list-style-type: none">• General use of LLN = 5th percentile and ULN = 95th percentile• Use of fixed ratio FEV₁/FVC < 0.7 not recommended• Use of 80% predicted to define normal not recommended
<p><u>Bronchodilator Response</u></p> <ul style="list-style-type: none">• >12% and 200 ml in FEV₁ or FVC from baseline• 4 doses of 100 mcg salbutamol; wait 15 minutes	<p><u>Bronchodilator Response</u></p> <ul style="list-style-type: none">• >10% of predicted value in FEV₁ or FVC• Choice of protocol for administering bronchodilator not specified

ERS/ATS technical standard on interpretive strategies for routine lung function tests

2005 ATS/ERS Statement	2021 ATS/ERS Technical Standard
<p data-bbox="267 392 1133 449"><u>Severity of Lung Function Impairment</u></p> <ul data-bbox="267 456 1159 1142" style="list-style-type: none"><li data-bbox="267 456 1159 564">● Using FEV₁ (includes obstruction or restriction):<ul data-bbox="267 571 1159 856" style="list-style-type: none"><li data-bbox="267 571 1159 621">○ Mild = FEV₁ > 70% predicted<li data-bbox="267 628 1159 678">○ Mod = 60-69% predicted<li data-bbox="267 685 1159 735">○ Mod-Severe = 50-59% predicted<li data-bbox="267 742 1159 792">○ Severe = 35-49% predicted<li data-bbox="267 799 1159 849">○ Very severe = < 35% predicted <li data-bbox="267 928 1159 978">● DLCO:<ul data-bbox="267 985 1159 1142" style="list-style-type: none"><li data-bbox="267 985 1159 1035">○ Mild = >60% predicted and < LLN<li data-bbox="267 1042 1159 1092">○ Mod = 40-60% predicted<li data-bbox="267 1099 1159 1142">○ Severe = < 40% predicted	<p data-bbox="1439 392 2305 449"><u>Severity of Lung Function Impairment</u></p> <ul data-bbox="1439 456 2178 685" style="list-style-type: none"><li data-bbox="1439 456 2178 506">● For all measures use z-score:<ul data-bbox="1439 514 2178 685" style="list-style-type: none"><li data-bbox="1439 514 2178 564">○ Mild = -1.65 to -2.5<li data-bbox="1439 571 2178 621">○ Mod = -2.51 to -4.0<li data-bbox="1439 628 2178 685">○ Severe = > -4

ERS/ATS technical standard on interpretive strategies for routine lung function tests

2005 ATS/ERS Statement	2021 ATS/ERS Technical Standard
<p><u>Classification of Physiological Impairments</u></p> <ul style="list-style-type: none"> ● Airflow obstruction: $FEV_1/FVC < 5^{th}$ percentile, using largest VC; lung volumes to detect hyperinflation or air trapping; elevated airway resistance; central/upper airway obstruction ● Restriction: <ul style="list-style-type: none"> ○ $TLC < 5^{th}$ percentile and normal FEV_1/VC ○ Mixed = FEV_1/VC and $TLC < 5^{th}$ percentile ● Gas Transfer Impairment: <ul style="list-style-type: none"> ○ $D_LCO, KCO < 5^{th}$ percentile ○ Importance of adjustments for Hb, COHb 	<p><u>Classification of Physiological Impairments</u></p> <ul style="list-style-type: none"> ● Airflow obstruction: $FEV_1/FVC < 5^{th}$ percentile, using FVC; lung volumes to detect hyperinflation or air trapping; dysanapsis; non-specific pattern and PRISm; central/upper airway obstruction ● Restriction: <ul style="list-style-type: none"> ○ $TLC < 5^{th}$ percentile ○ Simple vs. complex restriction ○ Hyperinflation ○ Mixed ● Gas Transfer Impairment <ul style="list-style-type: none"> ○ $D_LCO < 5^{th}$ percentile ○ Using VA, KCO to classify low D_LCO

Change is Hard...

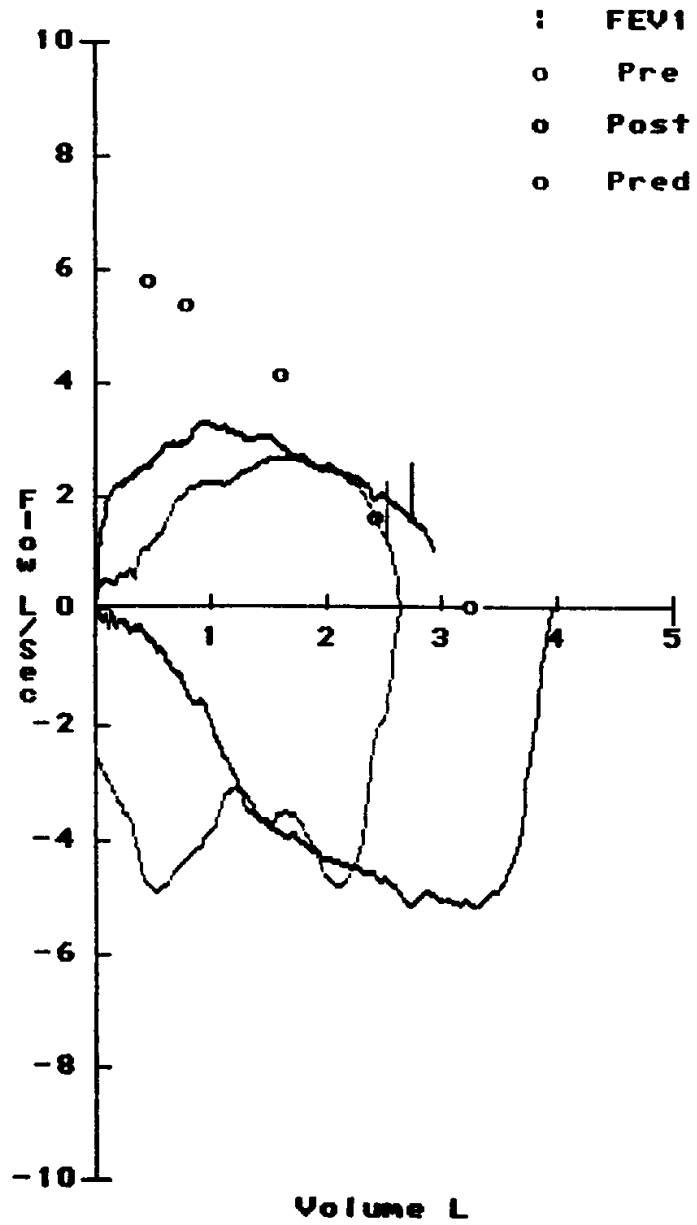


“I want you to find a bold and innovative way to do everything exactly the same way it’s been done for 25 years.”

Spirometry Quality Grading Criteria

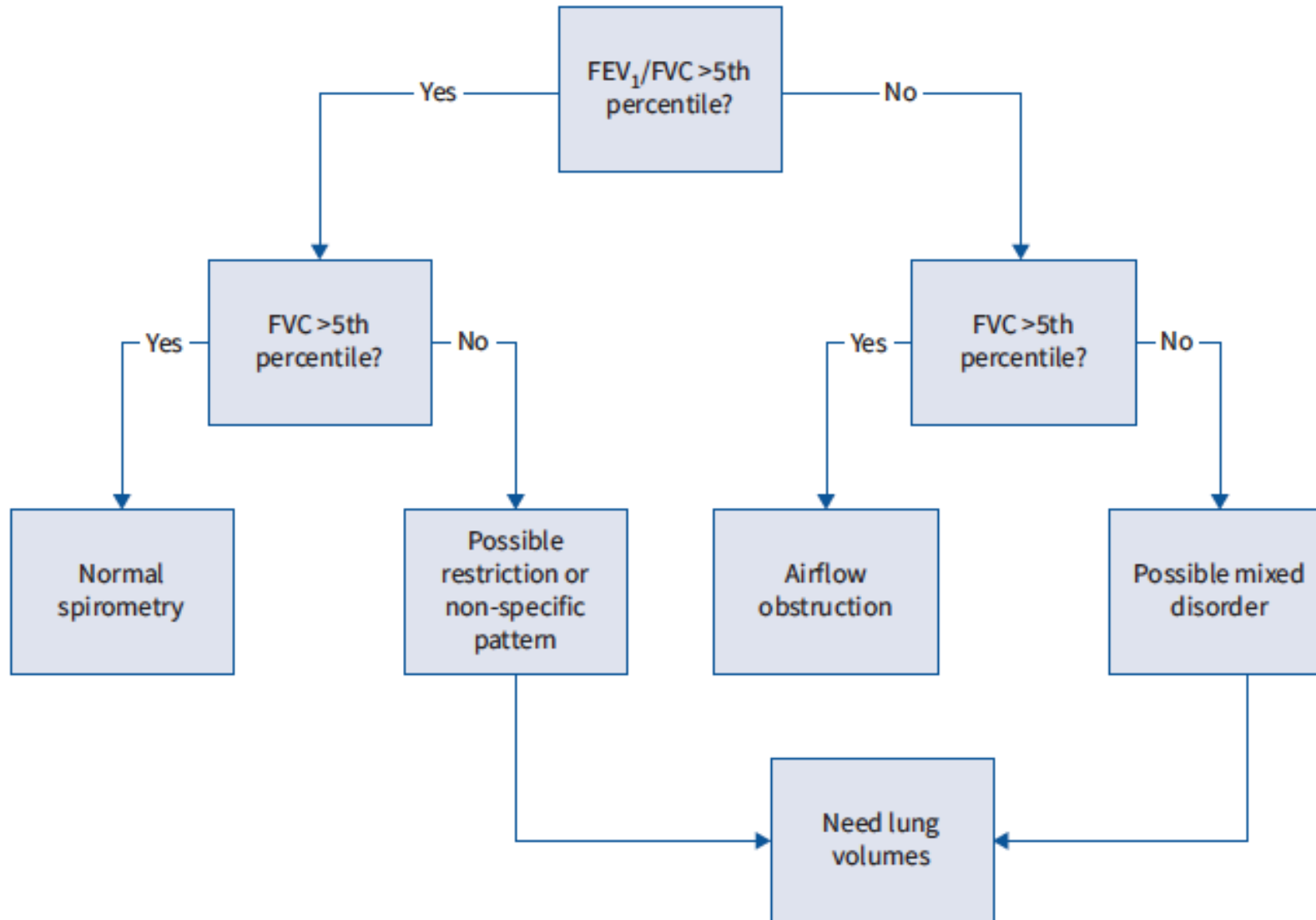
Table 10. Grading System for FEV₁ and FVC (Graded Separately)

Grade	Number of Measurements	Repeatability: Age >6 yr	Repeatability: Age ≤6 yr*
A	≥3 acceptable	Within 0.150 L	Within 0.100 L*
B	2 acceptable	Within 0.150 L	Within 0.100 L*
C	≥2 acceptable	Within 0.200 L	Within 0.150 L*
D	≥2 acceptable	Within 0.250 L	Within 0.200 L*
E	≥2 acceptable OR 1 acceptable	>0.250 L N/A	>0.200 L* N/A
U	0 acceptable AND ≥1 usable	N/A	N/A
F	0 acceptable and 0 usable	N/A	N/A

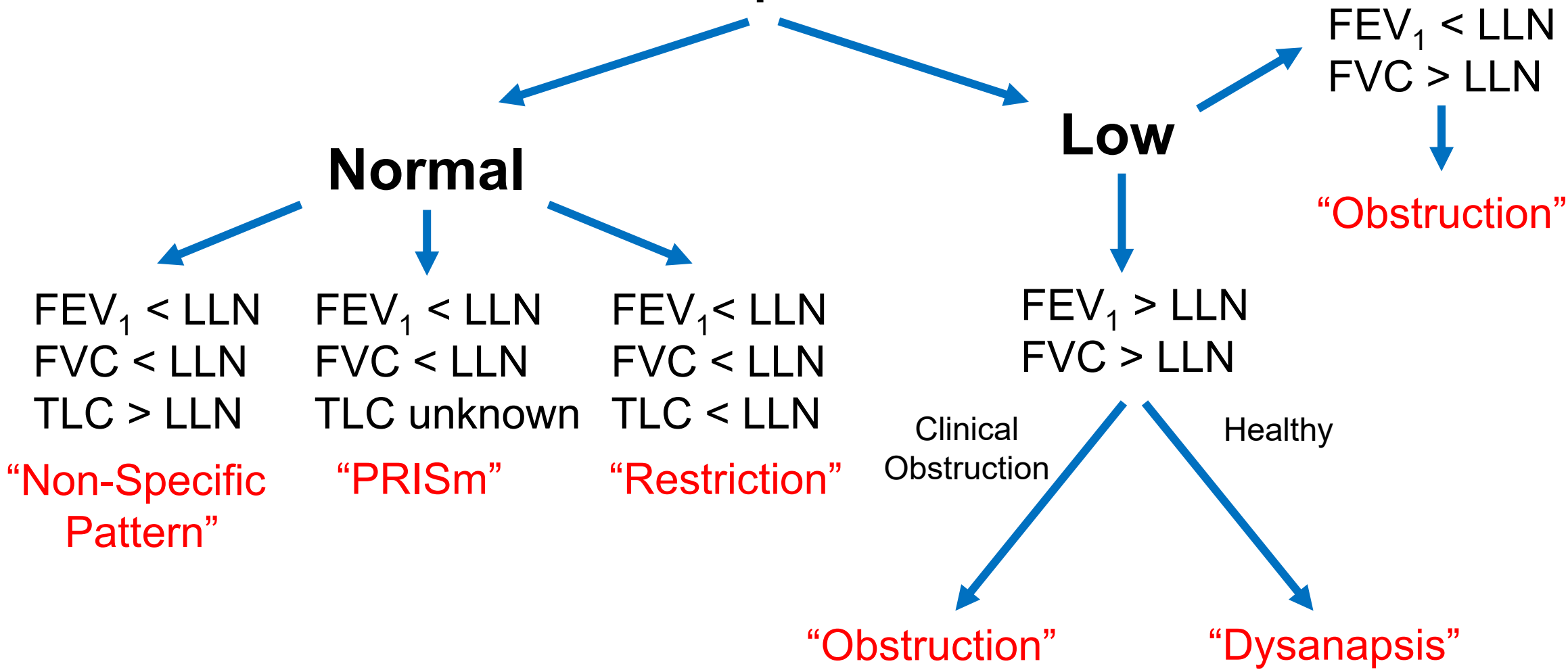


Oops!!

ERS-ATS Spirometry Interpretation Algorithm



FEV₁/FVC



Non-Specific Pattern: Low FEV₁, Low FVC, Normal TLC

Table 3—Summary of Patient Diagnosis*

Diagnostic Categories	Men (n = 62)	Women (n = 38)	Combined (n = 100)
1. AHR without obesity	11 (18)	10 (26)	21 (21)
2. AHR with obesity	16 (26)	15 (40)	31 (31)
3. Chronic lung disease	13 (21)	3 (8)	16 (16)
4. Obesity	7 (11)	0 (0)	7 (7)
5. Other	15 (24)	10 (26)	25 (25)

*Data are presented as No. (%). Categories 1, 2, and 3 are designated as group A (airway). Categories 4 and 5 are designated as group B (nonairway).

N= 9.6% of > 80,000 tests at Mayo Clinic

- 68% with airway disease
- 32% with restricted expansion of lung/thorax

Hyatt, Chest 2009

■ Non Specific ■ Restrictive ■ Obstructive ■ Normal □ Mixed

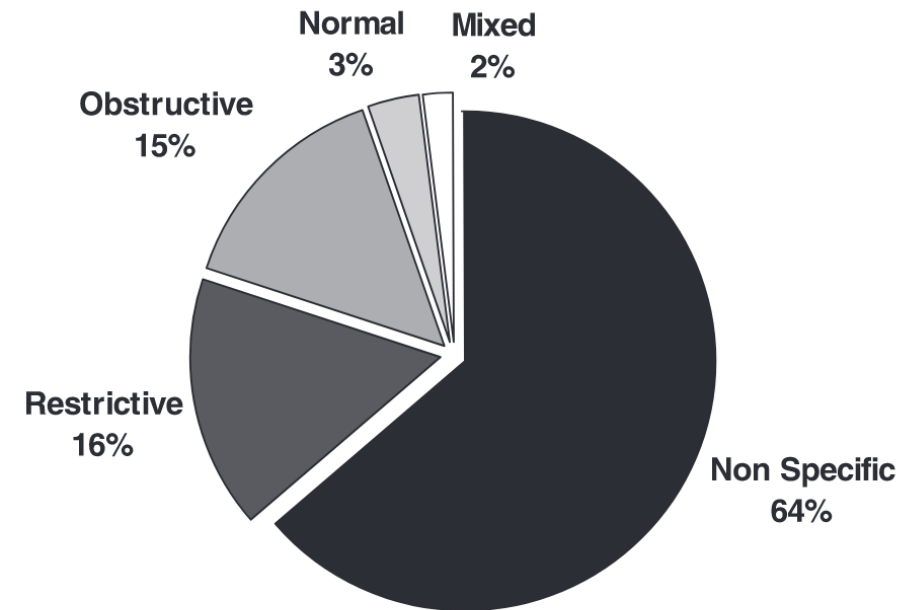
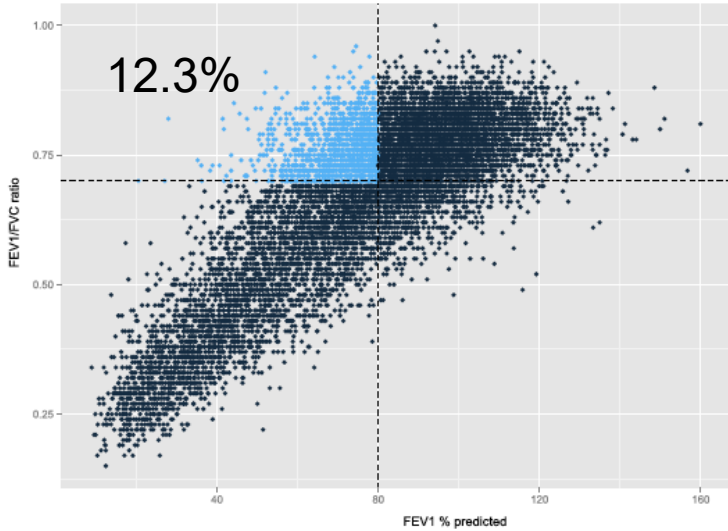


FIGURE 1. Pulmonary function pattern at last follow-up (N = 1,284).

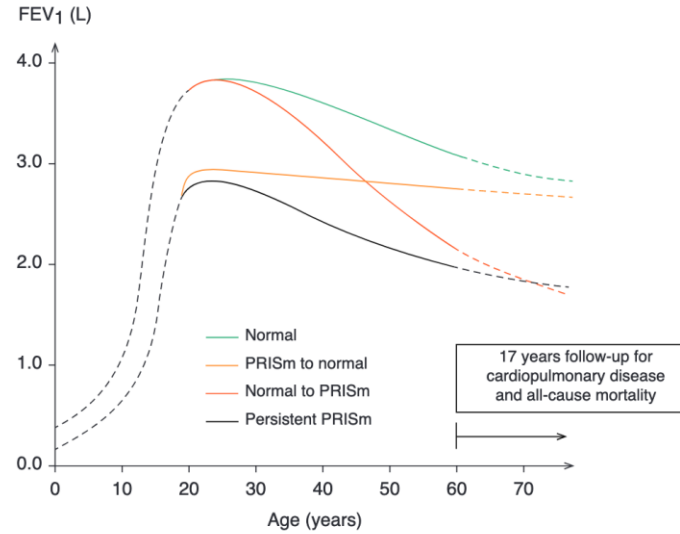
N= 1284 people with NSP over median 3 years

Iyer, Chest 2011

PRISm: Preserved Ratio (FEV₁/FVC) Impaired Spirometry (low FEV₁)



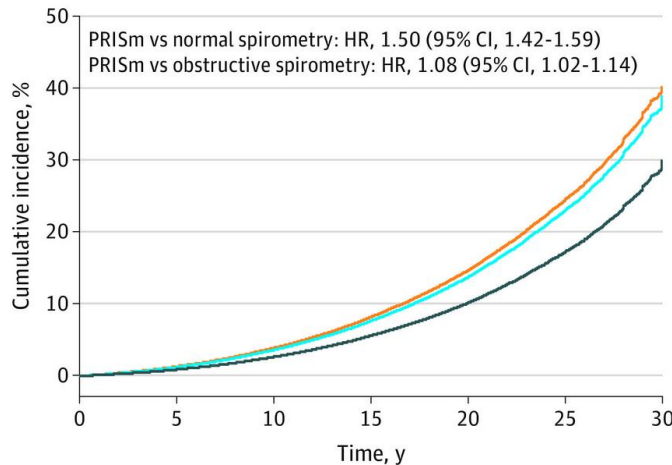
Wan, Respir Res 2014



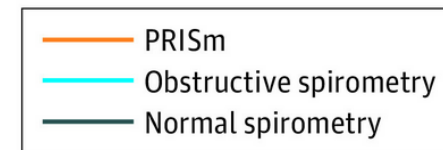
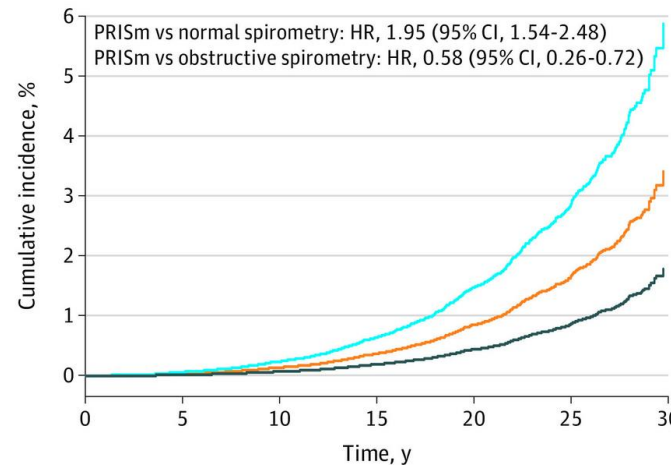
Marott, AJRCCM 2021

- Proposed to replace GOLD – “unclassified” signifying low FEV₁ with normal FEV₁/FVC
- Similar to “restriction” or “non-specific” pattern but both require TLC
- Prevalence= 17-24%
- Associated with:
 - Transitions over time Increased respiratory symptoms
 - Increased diagnosis of asthma, COPD
 - Increased cardiopulmonary mortality

A All-cause mortality



B Respiratory-related mortality



Wan, JAMA 2021

Dysanapsis: Airways Small Relative to Lung Volume

- Normal FEV_1 and FVC with low FEV_1/FVC
- Dysanapsis ratio = FEF_{25-75}/FVC
- Dysanapsis by CT: airway lumen diameter / cube root of lung volume

Figure. Representative CT Images Depicting the Spectrum of Dysanapsis Quantified as the Airway to Lung Ratio Among Older Adults Free of Standard COPD Risk Factors



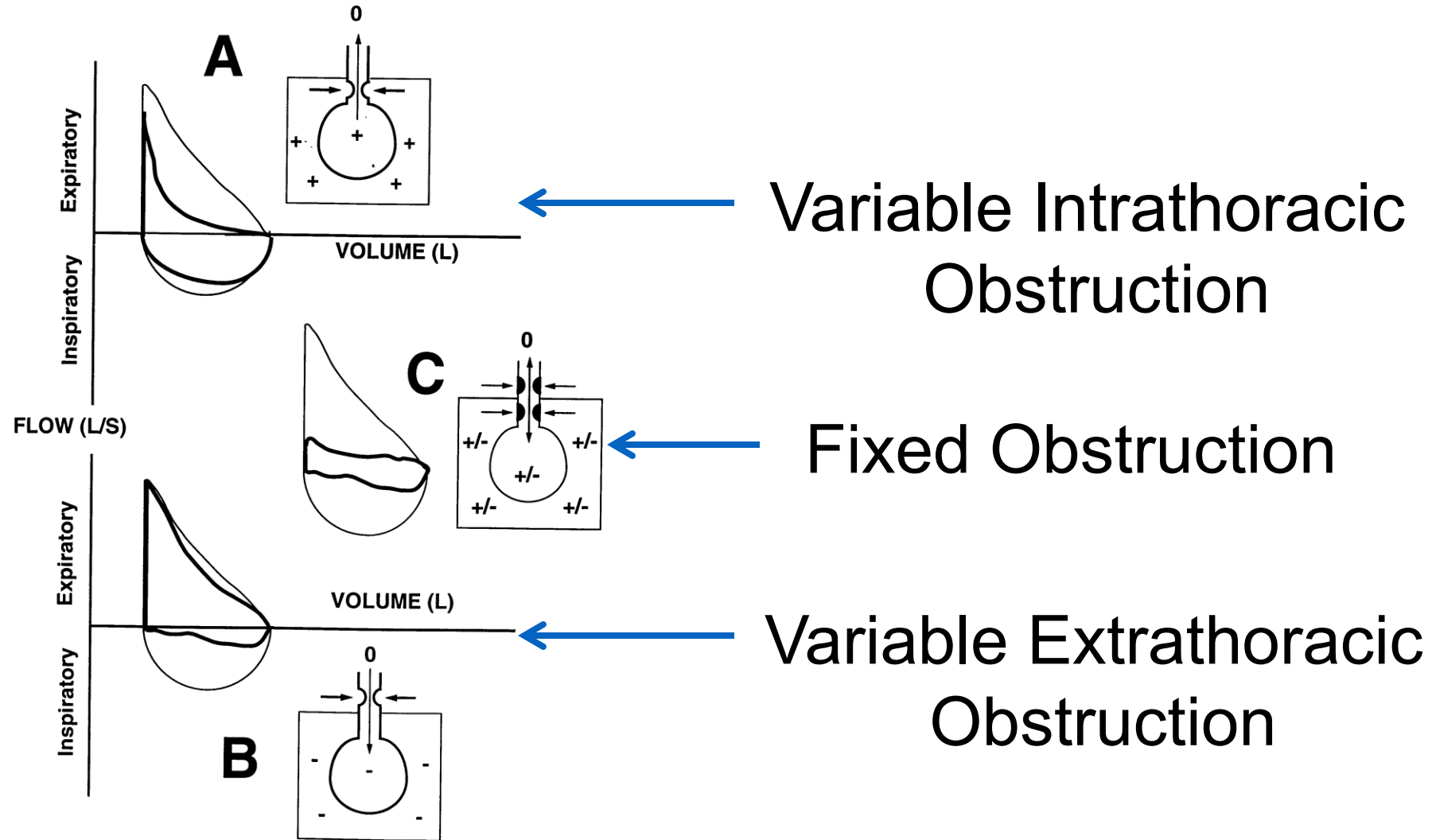
Smith, JAMA 2020

Dysanapsis associated with:

- COPD (Smith, JAMA 2020)
- Bronchodilator responsiveness (Vameghestahbanati, AJRCCM 2021)
- Obesity in children (Forno, AJRCCM 2017)
- Severe asthma in children with obesity (Forno, AJRCCM 2017)

Possibly a normal physiologic variant?

Anatomic Localization of Flow Limitation



Flow-Volume Loop Configurations in Different Physiological Conditions

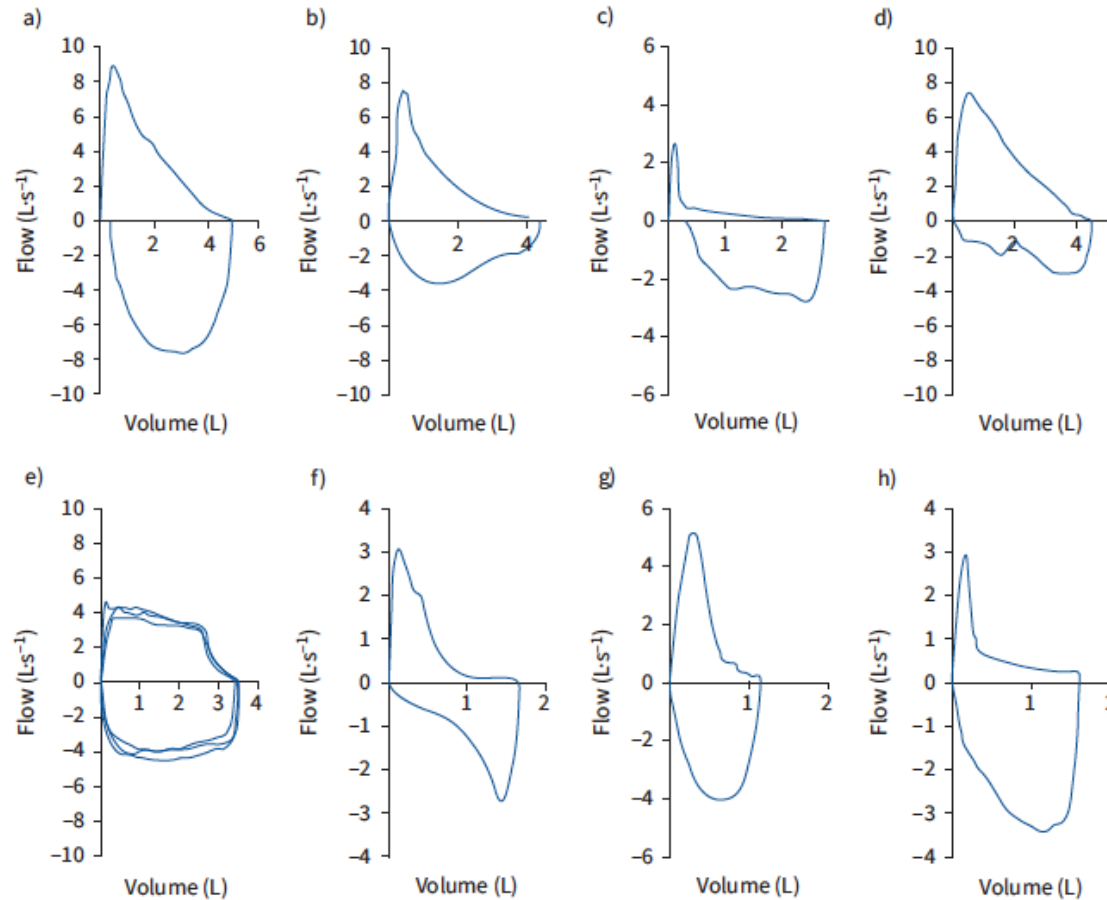
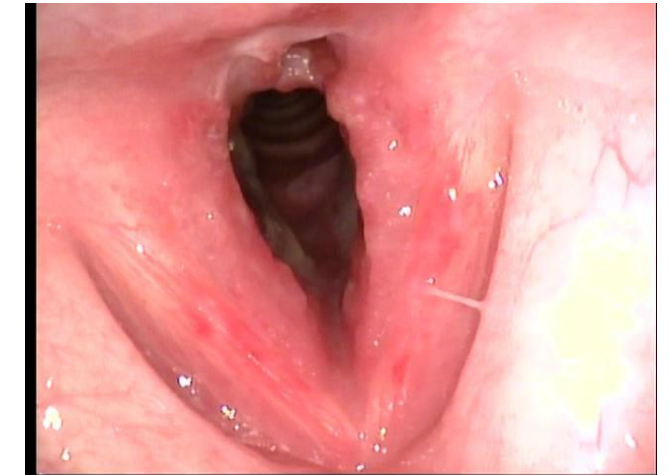
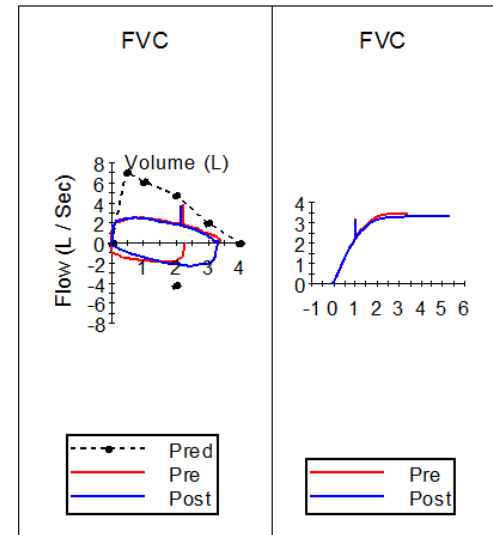


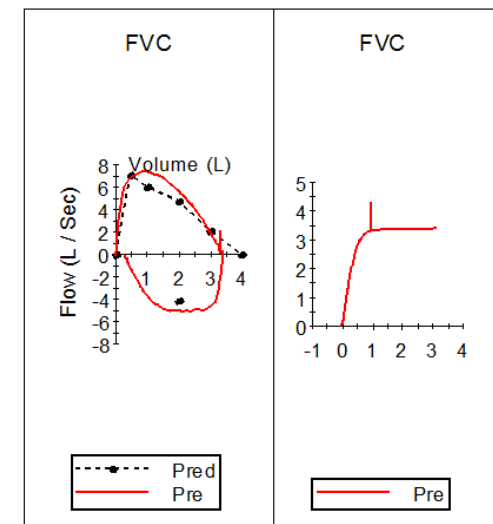
FIGURE 9 Examples of typical flow-volume loop configurations for a) normal, b) mild-moderate obstruction, c) severe obstruction, d) variable extrathoracic obstruction, e) fixed large/central airway obstruction, f) unilateral mainstem bronchial obstruction [179], g) restriction and h) mixed disorder.

22 year-old woman, shortness of breath with exertion: Is this asthma?

Pre-BD				
	Actual	LLN	Z Score	% Pred
---- SPIROMETRY ----				
FVC (L)	3.42	3.22	-1.21	86
FEV1 (L)	2.22	2.82	-3.27	64
FEV1/FVC (%)	64.92	76.18	-3.54	75
FEF 25-75% (L/sec)	1.98	2.48	-2.30	52
PEF (L/min)	154.6			
TestGrade(ATS)				



Pre-BD				
	Actual	LLN	Z Score	% Pred
---- SPIROMETRY ----				
FVC (L)	3.39	3.22	-1.27	85
FEV1 (L)	3.32	2.81	-0.28	97
FEV1/FVC (%)	97.86	76.18	1.99	113
FEF 25-75% (L/sec)	5.68	2.48	2.45	150
PEF (L/min)	448.6			
TestGrade(ATS)				



Fixed obstruction:
Granulomatous polyangiitis:
involving larynx

Defining Bronchodilator Response

Bronchodilator Responsiveness = change in FEV₁ or FVC

Old criteria was 12% change relative to start value + 200 ml:

- 12% favored those with lower FEV₁ start value
- 200ml favored males

Change in FEV₁ of:

- > 8% predicted: anchors to a survival advantage over non-responsive [1]
- > 10% predicted: upper limit of normal BDR in healthy population [2]
- > 4% predicted: separates patients who were peer reviewed as improved [3]

1. Ward H, et al. Chest. 2015; 148 (4): 877–86.
2. Tan WC et al. Thorax 2012.
3. Redelmeier DA, et al. Chest. 1996; 109: 1163–8.

Defining Bronchodilator Response

- Expressed as the percent change relative to the individual's **predicted value**
- A change >10% of the predicted value indicates a positive response
- Reporting changes as the increase relative to **predicted value** minimizes sex and height bias in assessing bronchodilator response

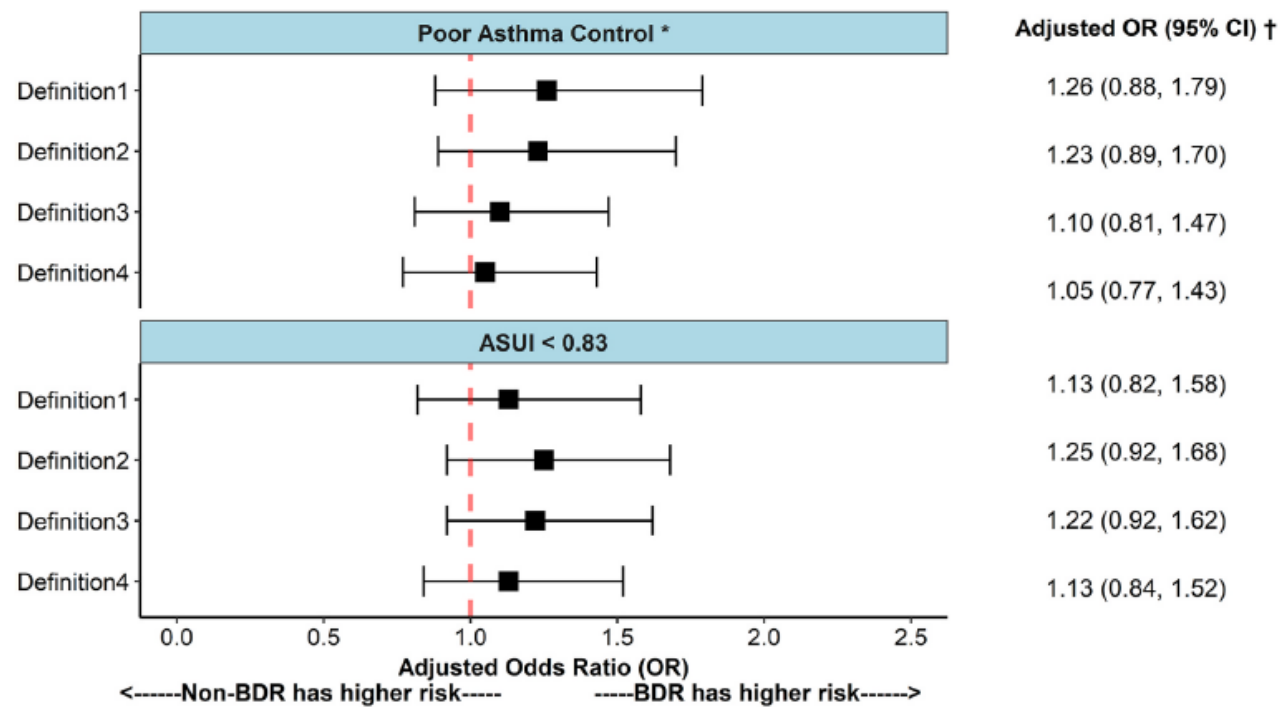
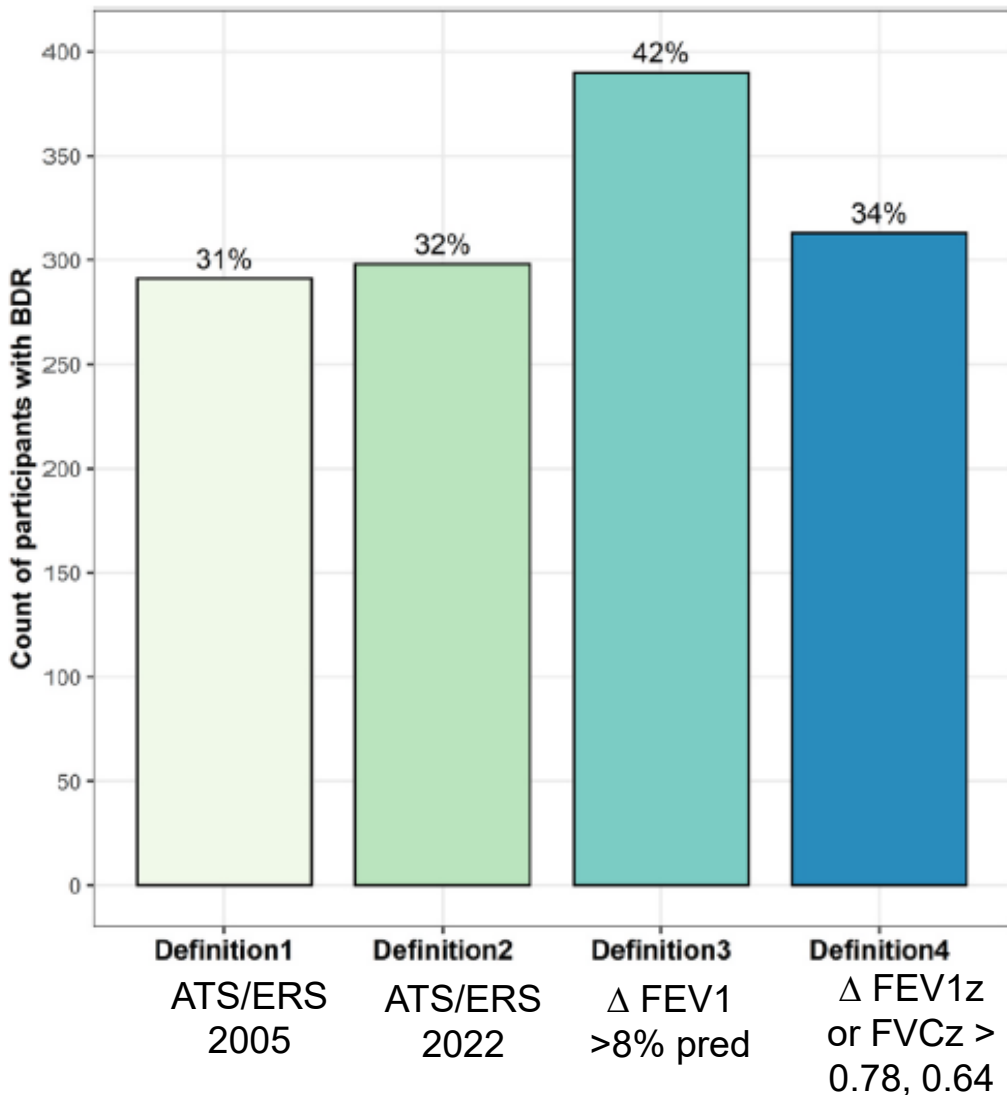
$$\frac{(\text{Post-bronchodilator value (l)} - \text{Pre-bronchodilator value (l)}) * 100}{\text{Predicted value (l)}}$$

- Simply look at the predicted value: if the absolute change is more than 10% of this value, then the bronchodilator response is significant

Performance of Bronchodilator Responsiveness in Participants with Poorly Controlled Asthma

Good agreement among BDR definitions

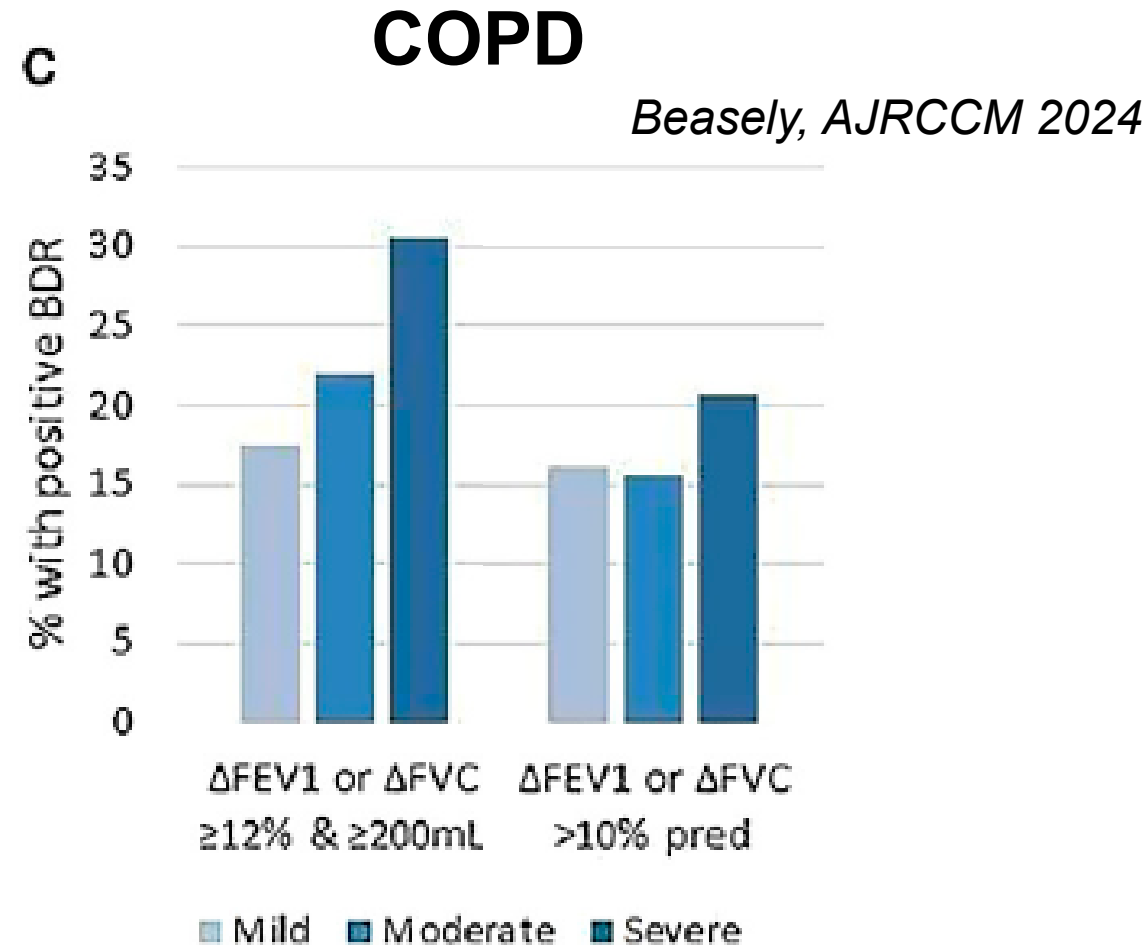
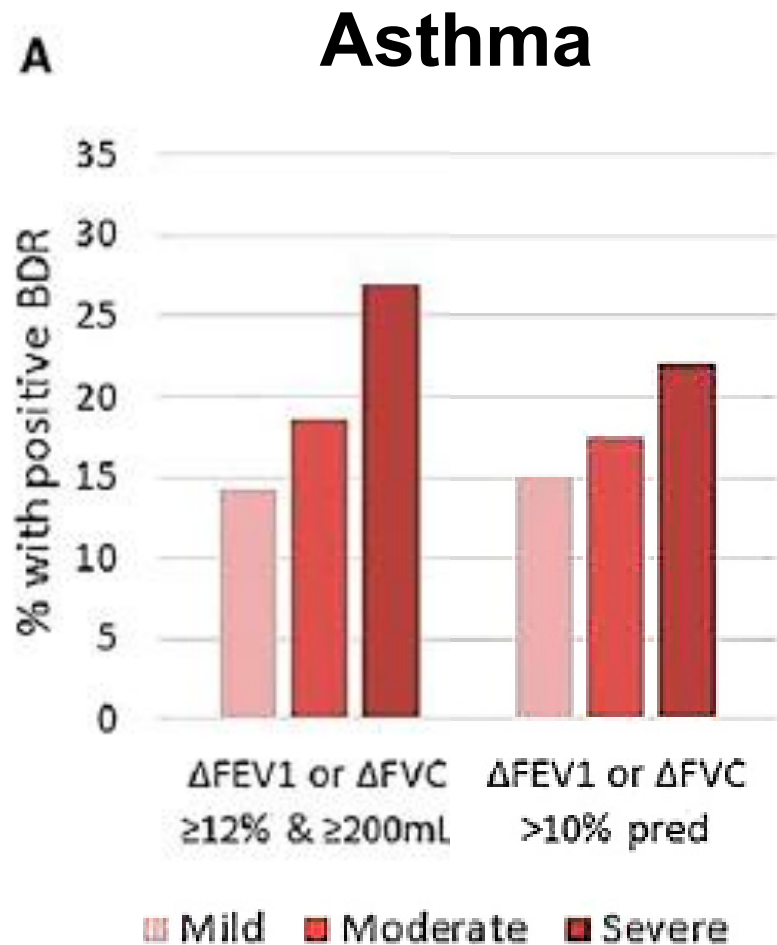
Kaminsky, Resp Med 2023



N= 931 poorly controlled asthmatics

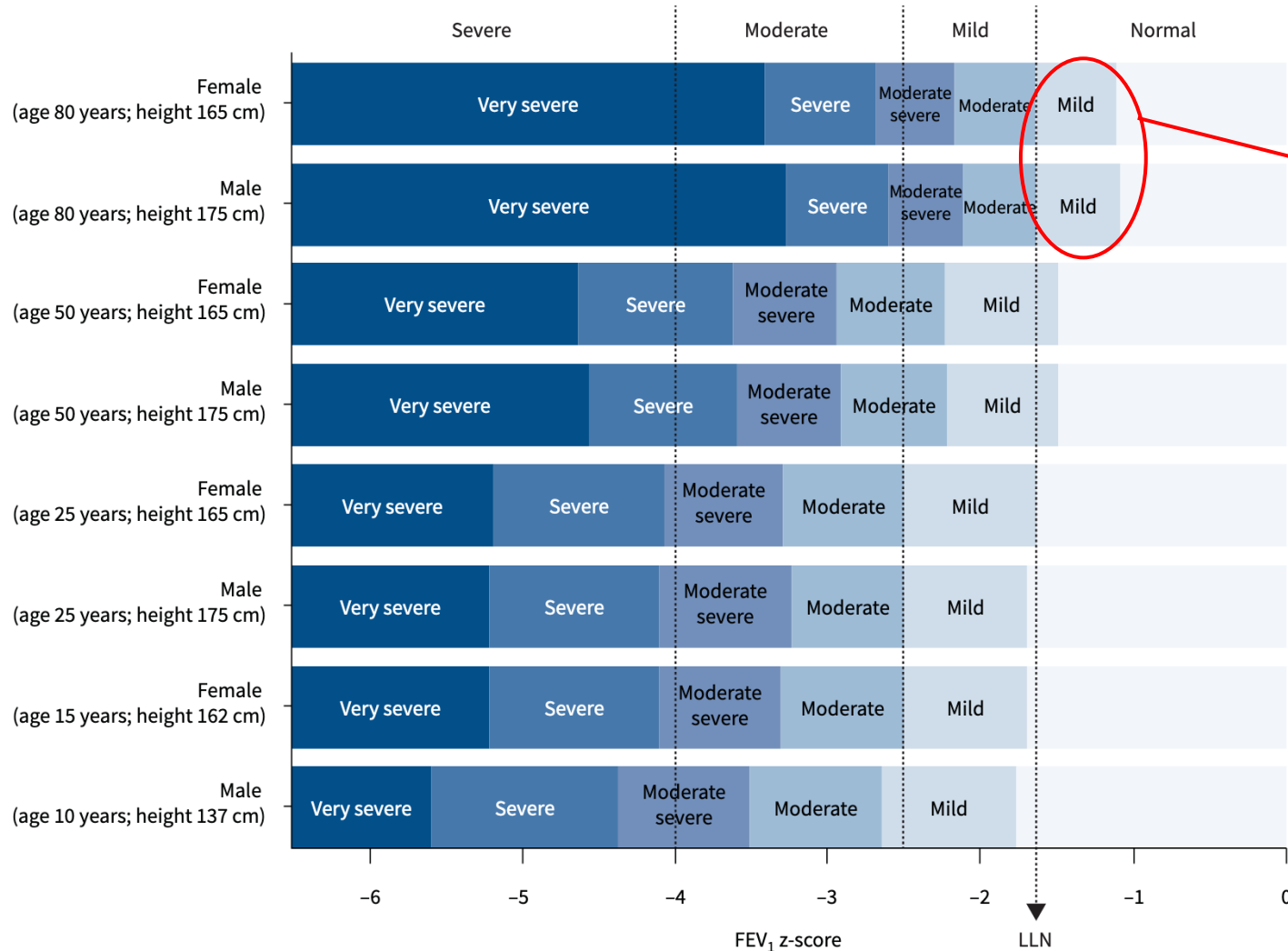
BDR did not associate with asthma symptoms or control

Associations of BDR with Asthma and COPD Outcomes



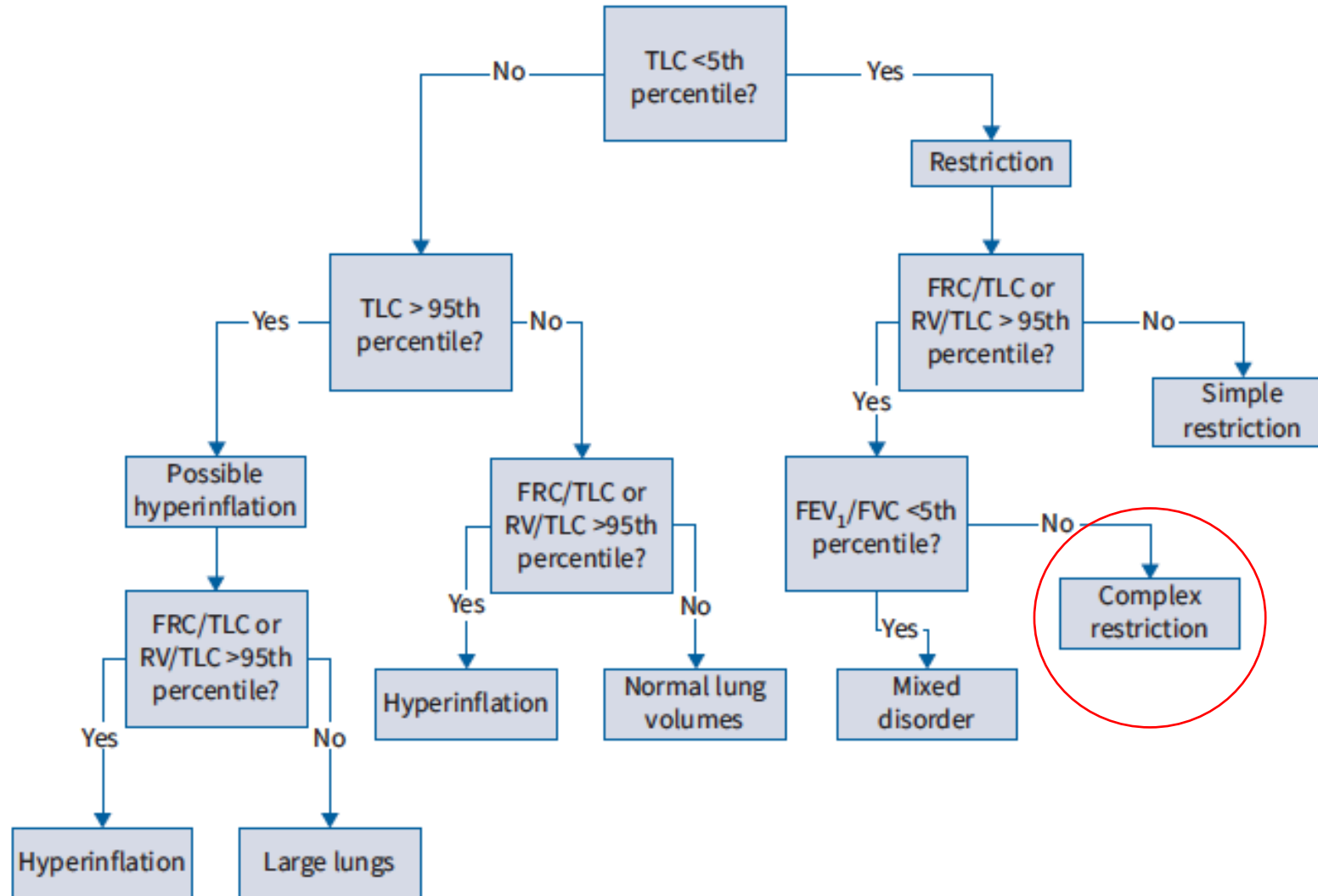
- N= 6788 patients, > 12 yr old, with asthma and/or COPD, in 18 countries (“NOVELTY”)
- ***BDR did not differentiate Asthma from COPD but did associate with severity of disease***

Severity of Ventilatory Impairments Defined by Spirometry

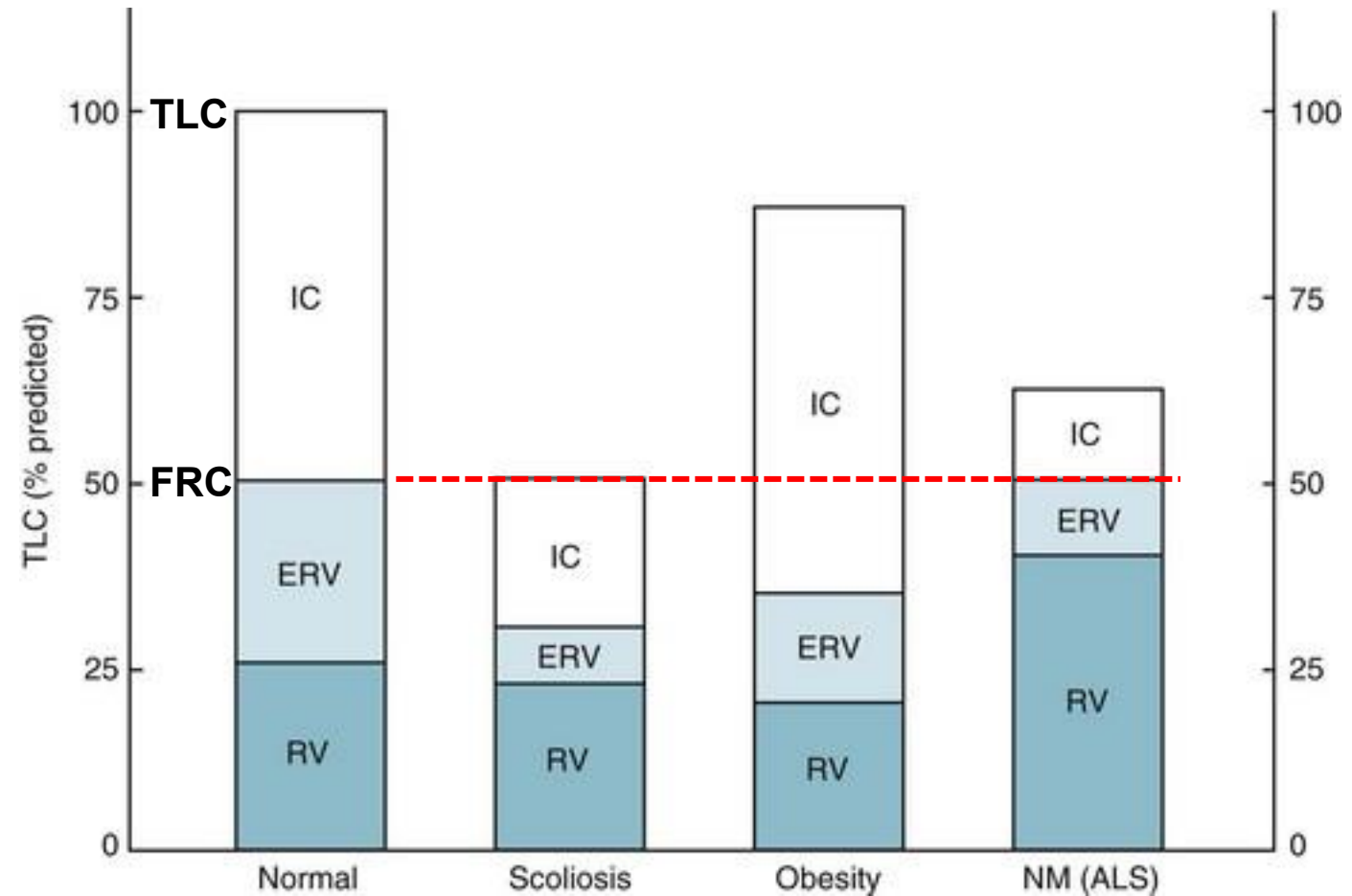
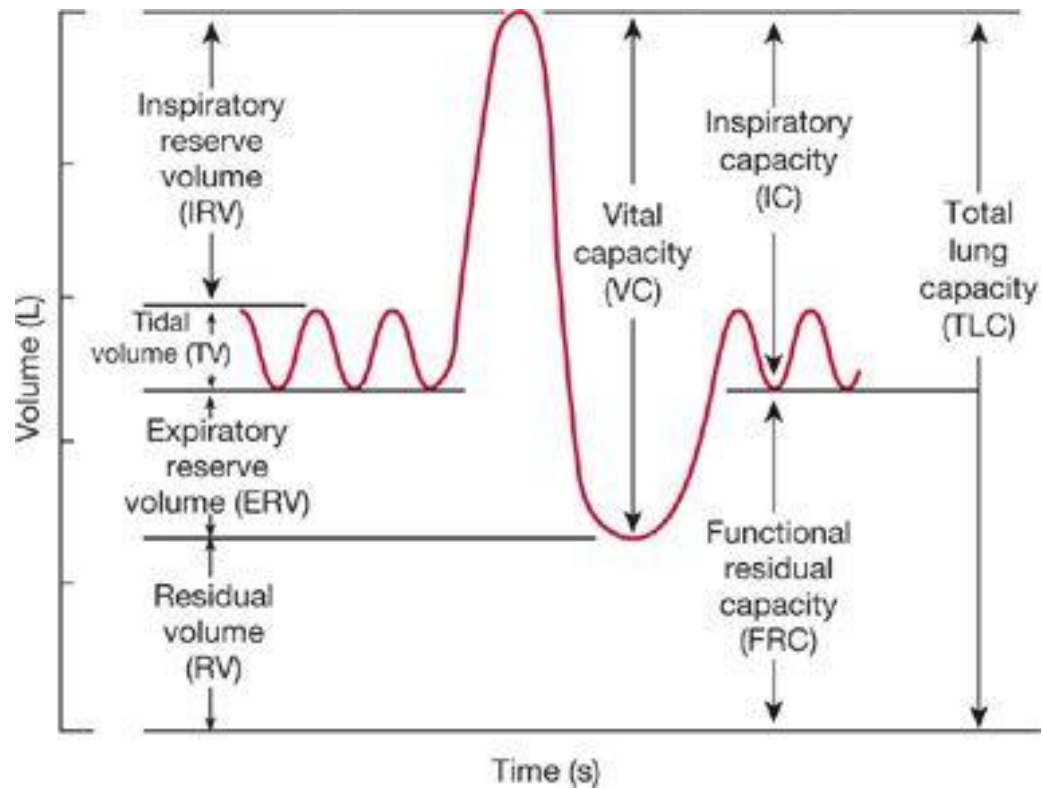


- If $FEV_1 > LLN$ and $FEV_1/FVC < LLN$, this is still “obstruction” and should be graded as “Mild”
- This may represent “dysanapsis” related to risk of obstructive disease, but also may be normal in someone who just has a large FVC
- Other methods of grading obstruction have been proposed; e.g. STAR system based on FEV_1/FVC in COPDGene cohort

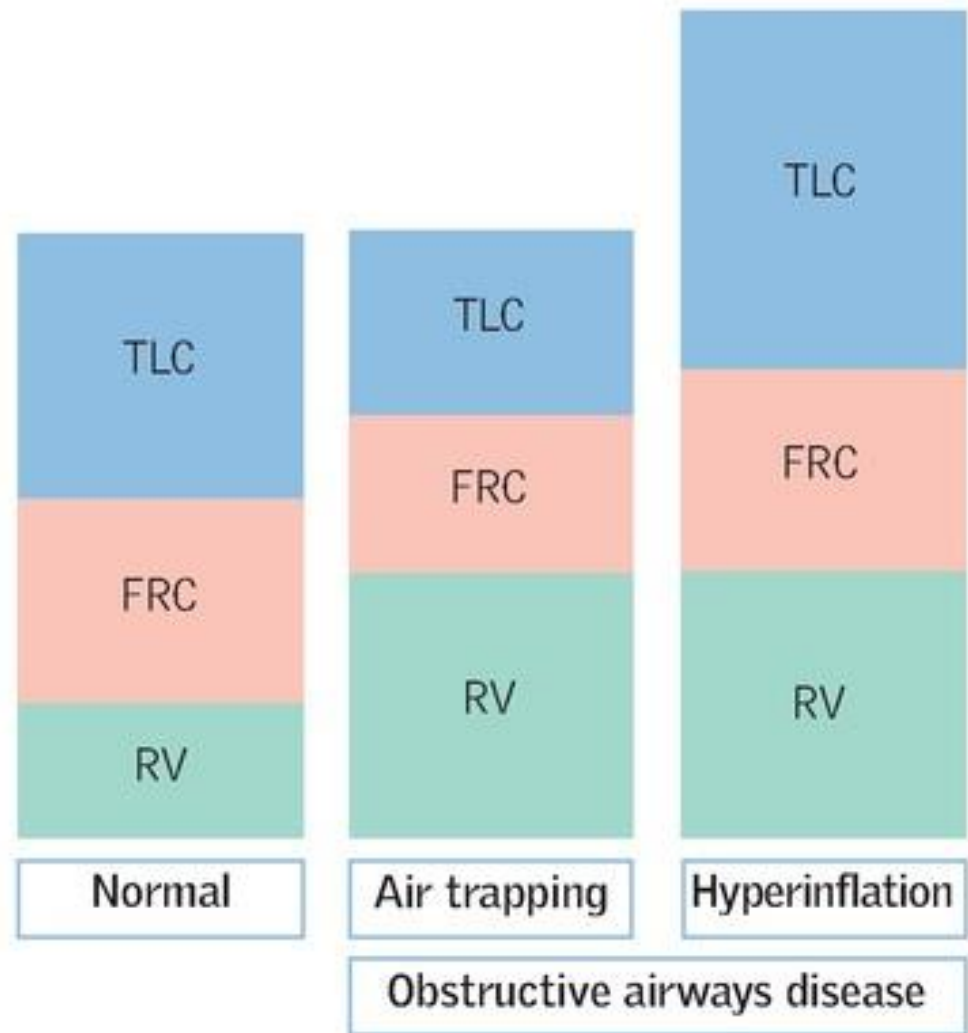
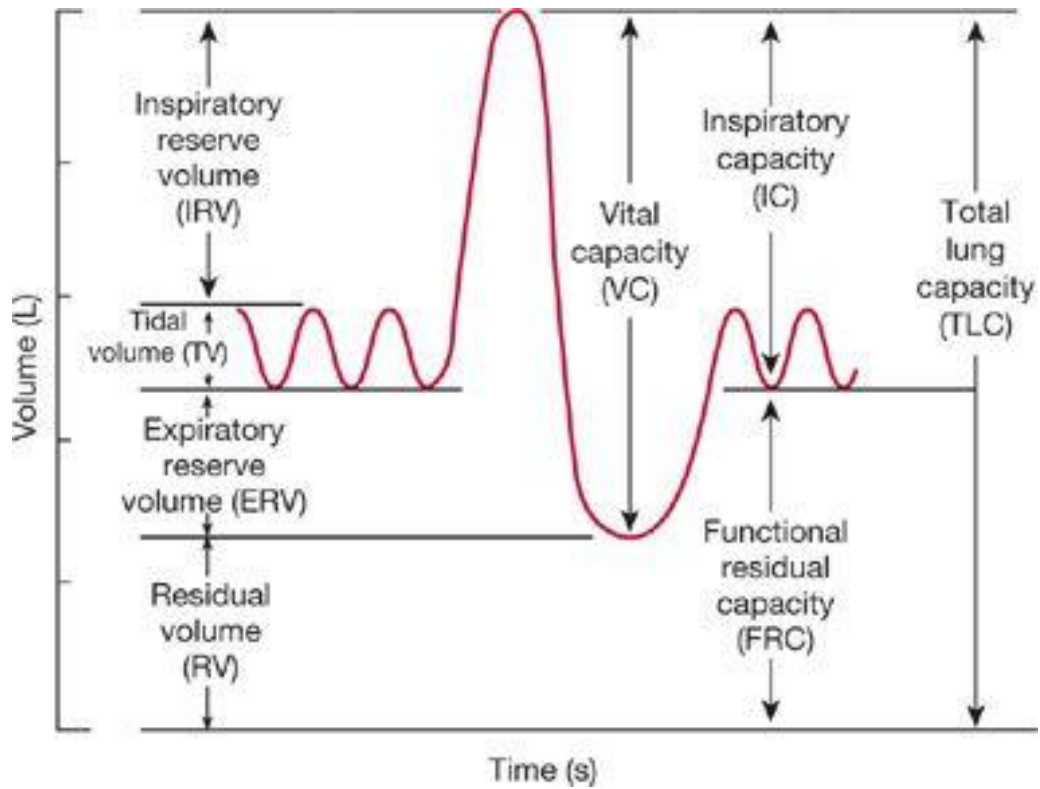
ERS-ATS Lung Volume Interpretation Algorithm



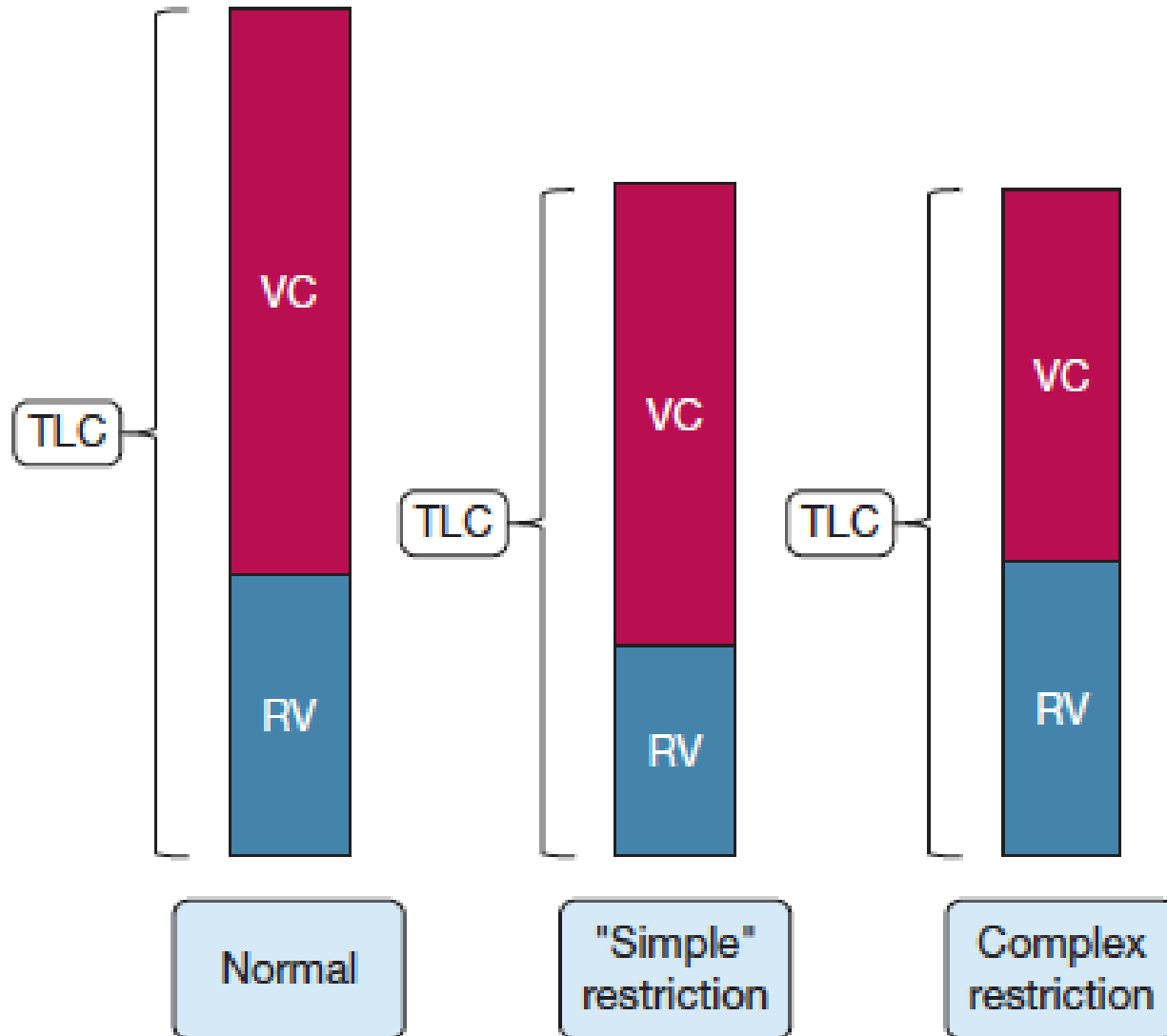
Measure Lung Volumes to Confirm Restriction



Measure Lung Volumes to Evaluate Obstruction



“Complex Restriction”



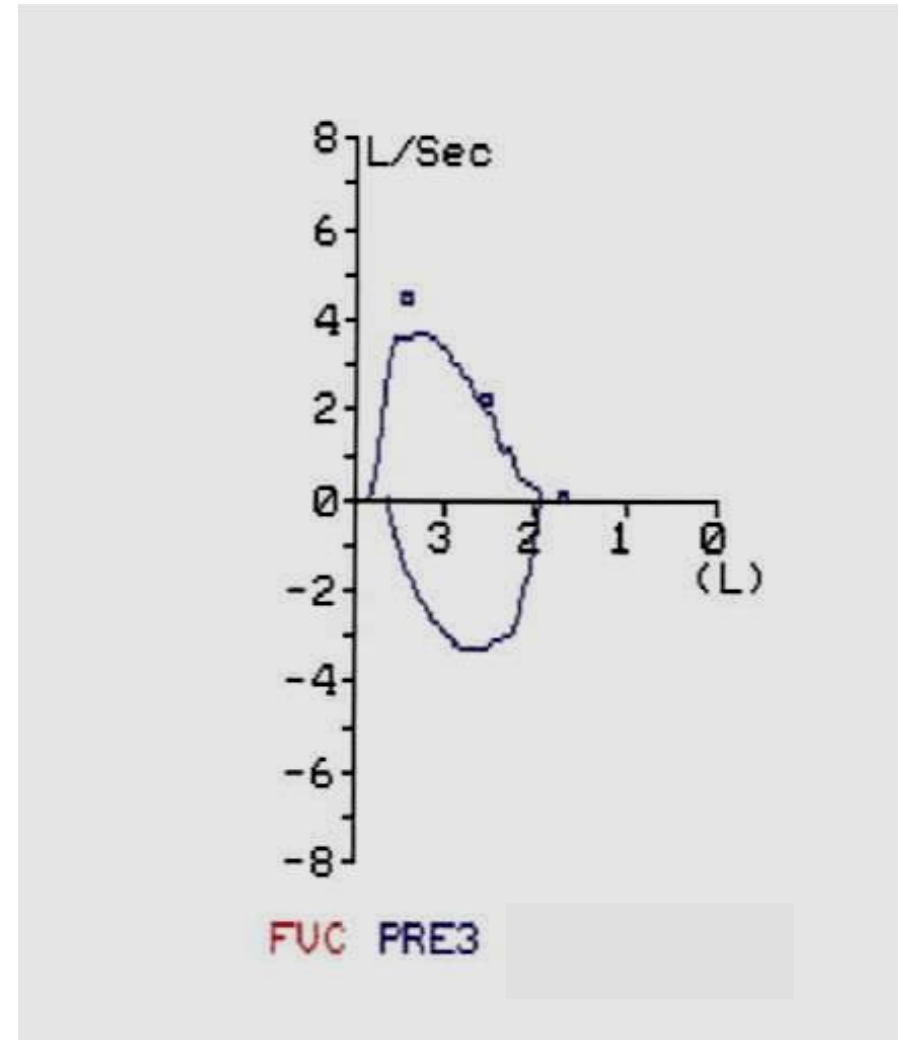
- Neuromuscular disease
- Chest wall restriction (including obesity)
- Occult air trapping (high RV/TLC, mosaic attenuation on chest CT)

Complex Restriction: Muscle Weakness

FVC = 1.90 L (55%)
FEV1 = 1.72 L (65%)
FEV1/FVC = 91% (119%)

TLC = 3.55 L (69%)
FRC = 2.27 L (82%)
RV = 1.95 L (114%)

MIP = -33 cm H₂O (> -50)
MEP = + 31 cm H₂O (> 80)



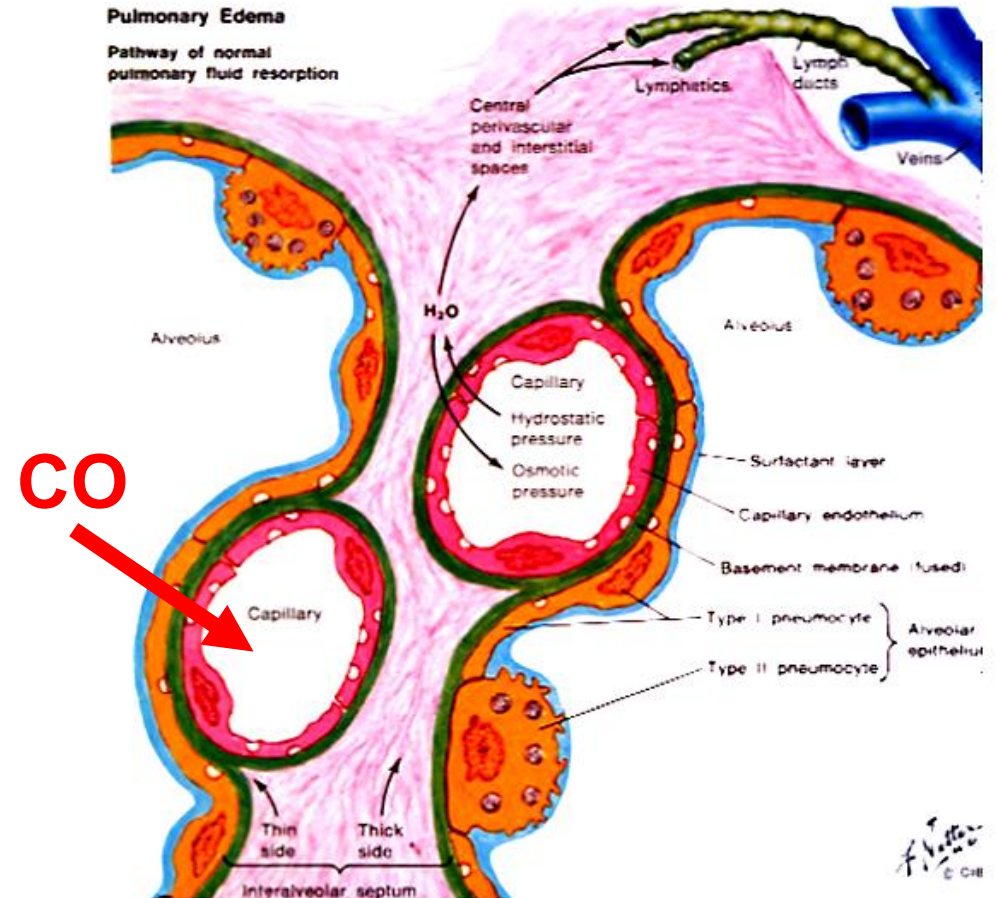
45 year old woman, history of SLE

Diffusing Capacity

CO uptake dependent on:

- K_{CO} (CO concentration change over time)
 - V_c : capillary blood (including Hb)
 - D_m : alveolar-capillary membrane properties, surface area
- V_A : total volume of gas in lungs

$$D_L CO = K_{CO} \times V_A$$

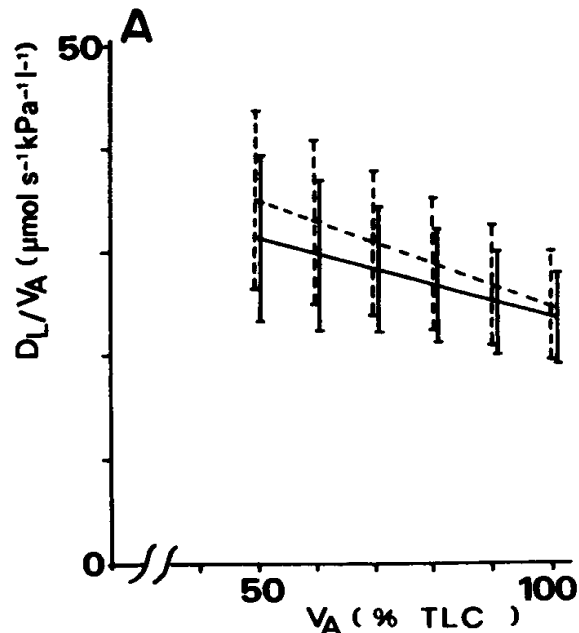


Note: $K_{CO} = D_L CO / V_A$ (it is **not** a correction for lung volume)

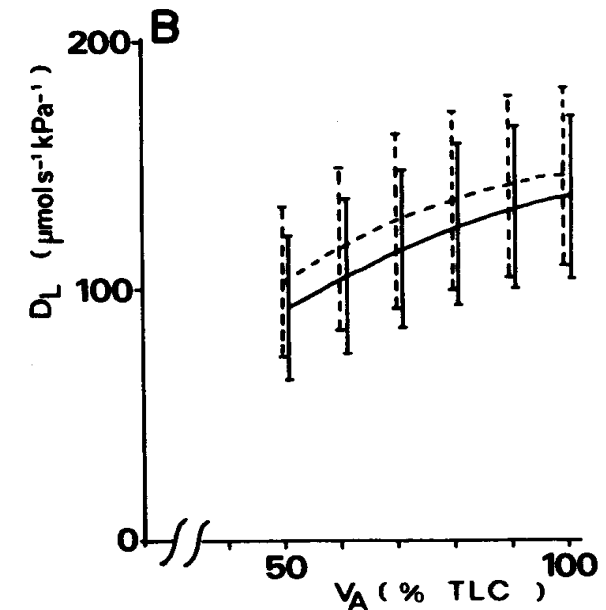
D_LCO and Lung Volume

D_LCO/VA appears to be a “correction” of D_LCO for VA, but it is not:

- D_LCO and D_LCO/VA vary with lung volume (VA)
- D_LCO/VA increases when VA is reduced due to an increase in surface area to volume ratio as alveoli become smaller.



Stam, JAP 1991



↑ in surface area to volume ratio as VA ↓

overall DLCO increases with increased alveolar volume

Low D_LCO : Relative Changes in VA and Kco

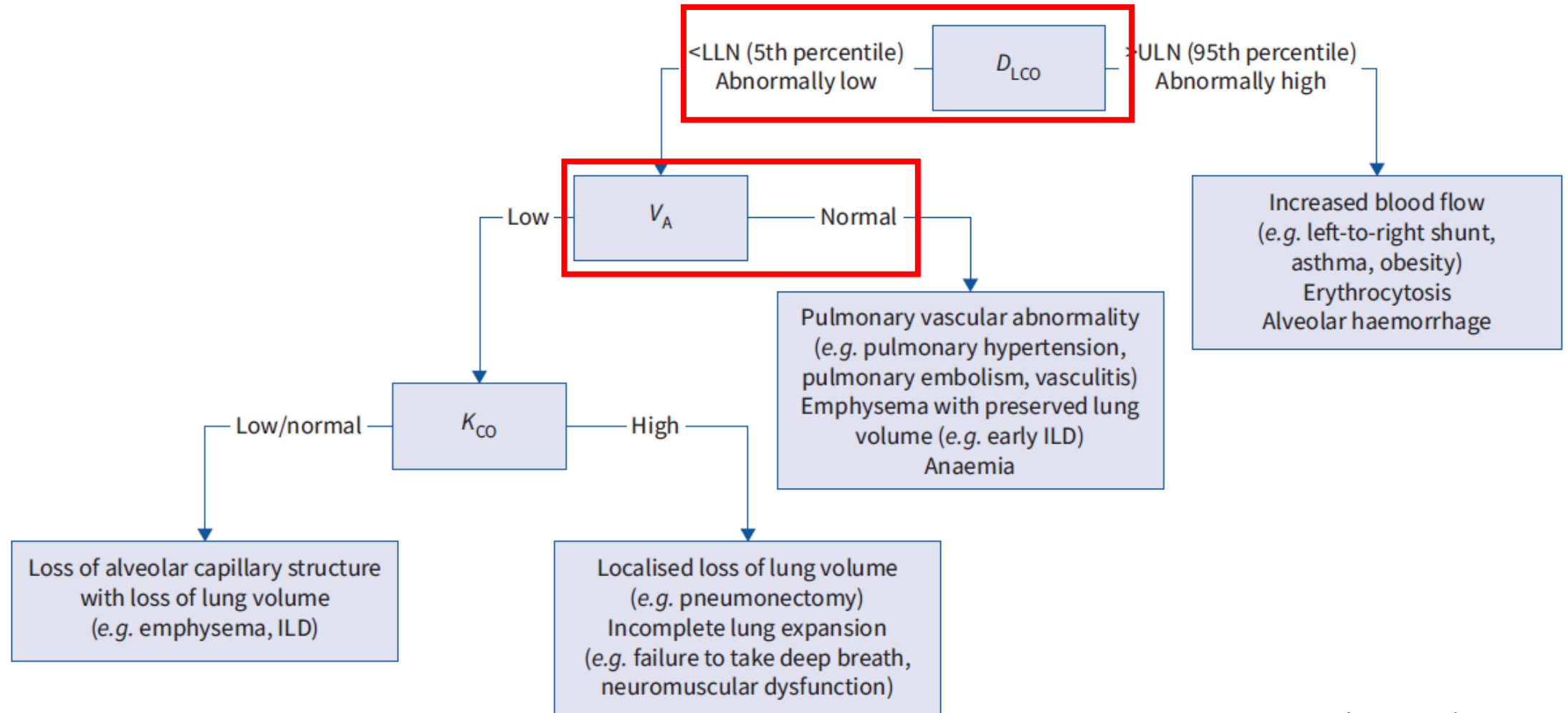
- If D_LCO reduced less than VA, suggests incomplete lung expansion (poor effort, chest wall restriction, weakness) or lung resection
 - ($Kco > ULN$ = high Kco)
- If D_LCO reduced more than VA, suggests diffuse loss of alveolar tissue (emphysema, ILD) or vascular disease (pulmonary hypertension)
 - ($Kco < LLN$ = low Kco)

Interpreting D_LCO

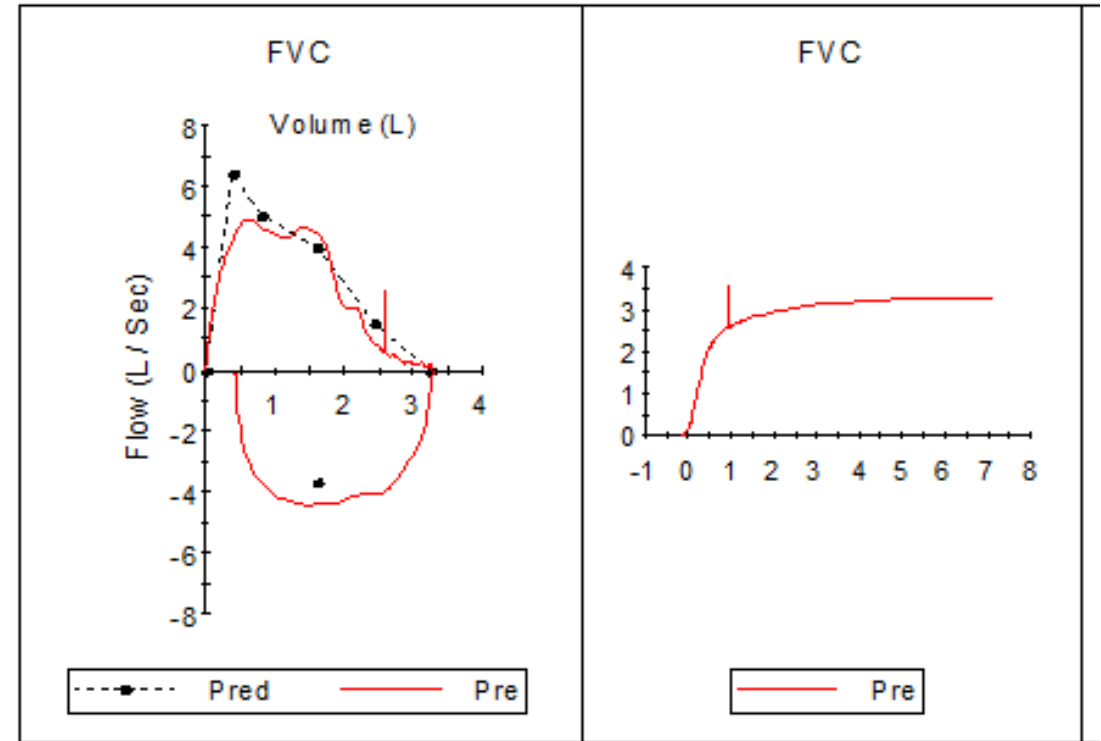
Condition	VA	K_{CO}	D_LCO
Incomplete lung expansion	↓↓↓	↑↑	↓
Discrete loss of alveolar units	↓↓↓	↑	↓↓
Diffuse loss of alveolar units	↓↓	↓	↓↓↓
Pulmonary emphysema	↓	↓↓	↓↓↓
Pulmonary vascular disorders	normal	↓↓	↓↓
High pulmonary blood volume	normal	↑	↑
Alveolar haemorrhage	↓	↑↑↑	↑↑

Single-breath method. VA: alveolar volume; K_{CO} : carbon monoxide transfer coefficient;'

ERS-ATS D_{LCO} Interpretation Algorithm

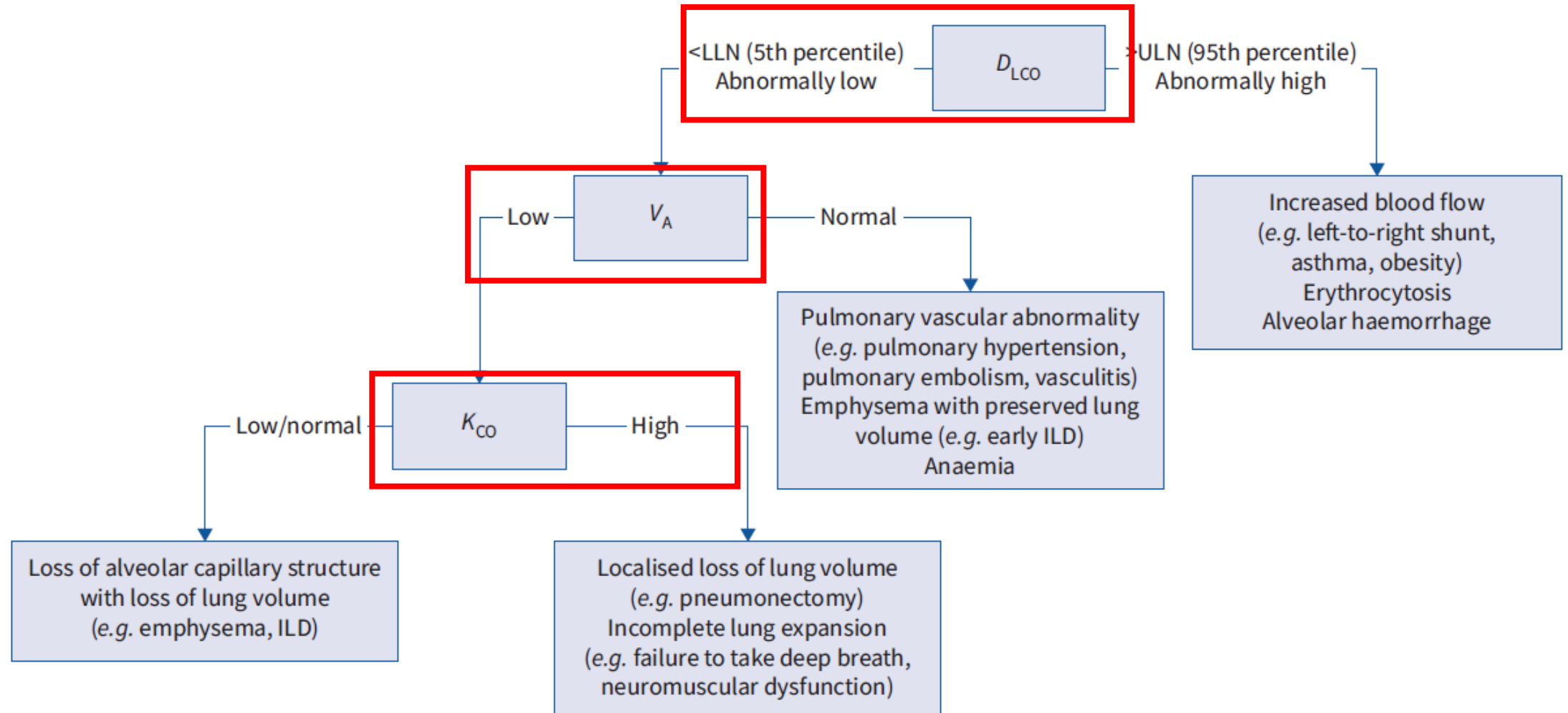


	Pre-BD				
	Actual	LLN	Z Score	Pred	%Pred
---- SPIROMETRY ----					
FVC (L)	3.28	2.56	+0.11	3.24	101
FEV1 (L)	2.60	2.07	-0.08	2.63	98
FEV1/FVC (%)	79.14	70.46	-0.38	81.50	97
TestGrade(ATS)	AA				
FEF 25-75% (L/sec)	2.62	1.60	-0.17	2.75	95
PEF (L/min)	341.4				
---- Slow Vital Capacity ---					
SVC (L)	3.25	2.56	+0.03	3.24	100
IC (L)	2.91			2.09	138
ERV (L)	0.34			1.15	29
FEV1/SVC (%)	80.01			81.17	98
---- DIFFUSION ----					
DLCOUnc (ml/min/mmHg)	13.51	15.41	-2.50	19.62	68
DLCOcor (ml/min/mmHg)	13.51	14.25	-2.00	18.15	74
VA (L)	4.66	3.64	+0.45	4.43	105
IVC (L)	3.19				
Kco (ml/min/mmHg/L)	2.90	3.52	-2.82	4.48	64
TestGrade(DLCO)	AA				

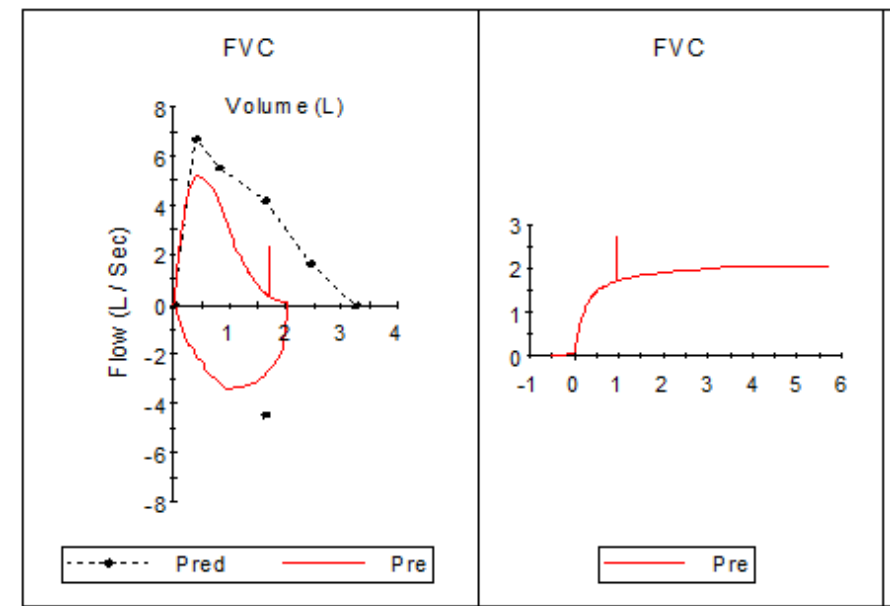


- 46 year old woman. BMI 28.
- Normal spirometry
- Low DLCO (mild) with normal VA but low KCO
- Pulmonary embolism

ERS-ATS D_{LCO} Interpretation Algorithm

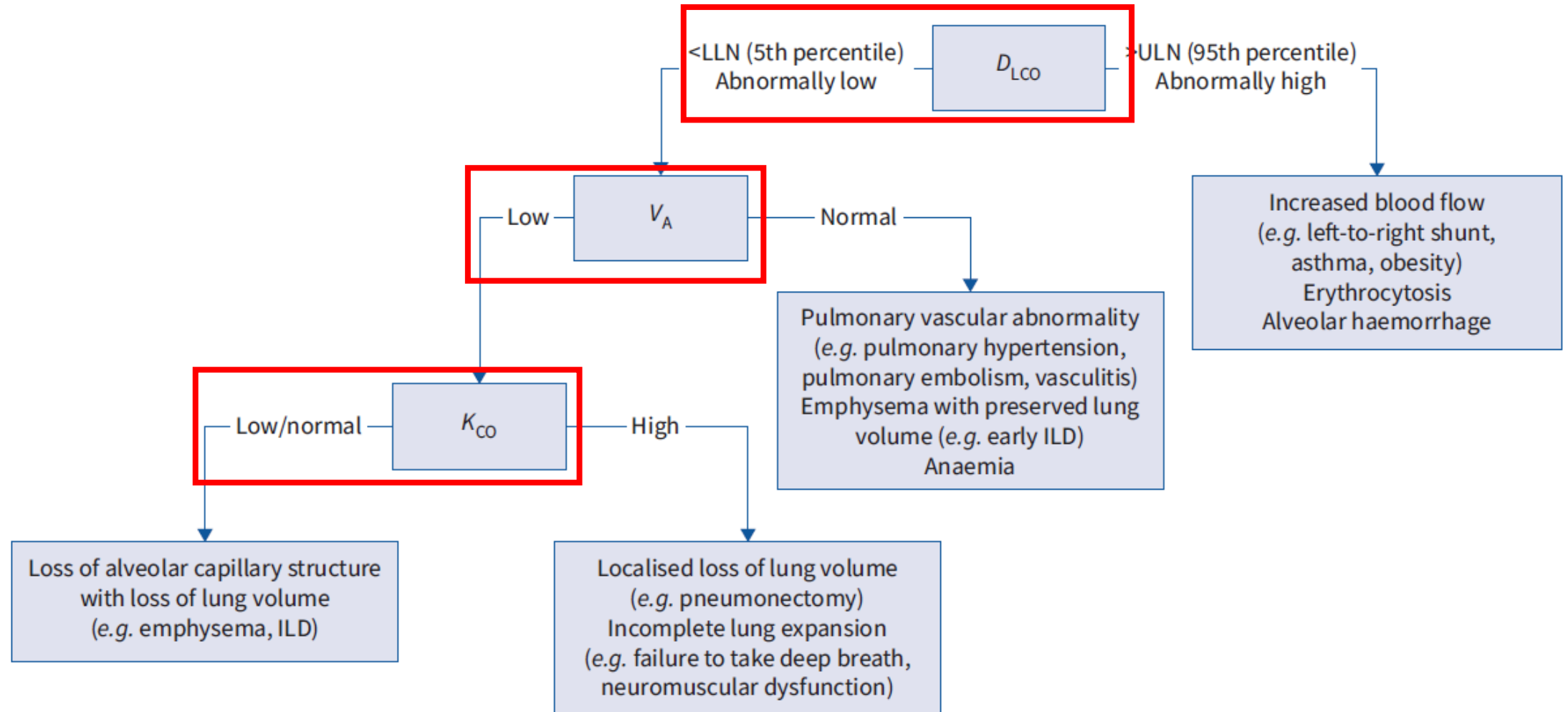


	Pre-BD				
	Actual	LLN	Z Score	Pred	%Pred
---- SPIROMETRY ----					
FVC (L)	2.04	2.54	-2.84	3.25	62
FEV1 (L)	1.72	2.07	-2.57	2.68	64
FEV1/FVC (%)	84.26	71.98	+0.27	82.69	101
TestGrade(ATS)	AA				
FEF 25-75% (L/sec)	2.09	1.54	-0.91	2.86	72
PEF (L/min)	325.2				
---- Slow Vital Capacity ----					
SVC (L)	1.96	2.54	-3.05	3.25	60
IC (L)	1.58			2.31	68
ERV (L)	0.37			0.94	39
FEV1/SVC (%)	87.98			82.36	106
---- LUNG VOLUMES ----					
TLC (Pleth) (L)	3.13	4.11	-3.83	5.19	60
TGV (L)	1.55	1.83	-2.53	2.87	53
RV (Pleth) (L)	1.18	0.87	-1.19	1.63	72
RV/TLC (Pleth) (%)	37.57	20.07	+1.21	30.99	121
---- AIRWAYS RESISTANCE					
Raw (cmH2O/L/s)	1.97	1.15	+0.26	1.86	106
sGaw (1/cmH2O*s)	0.30	0.14	+2.49	0.20	144
---- DIFFUSION ----					
DLCOUNC (ml/min/mmHg)	17.22	17.37	-1.70	21.95	78
DLCOCOR (ml/min/mmHg)		17.37		21.95	
VA (L)	3.06	4.15	-3.94	5.02	61
IVC (L)	1.92				
Kco (ml/min/mmHg/L)	5.62	3.47	+1.91	4.40	127
TestGrade(DLCO)	AA				

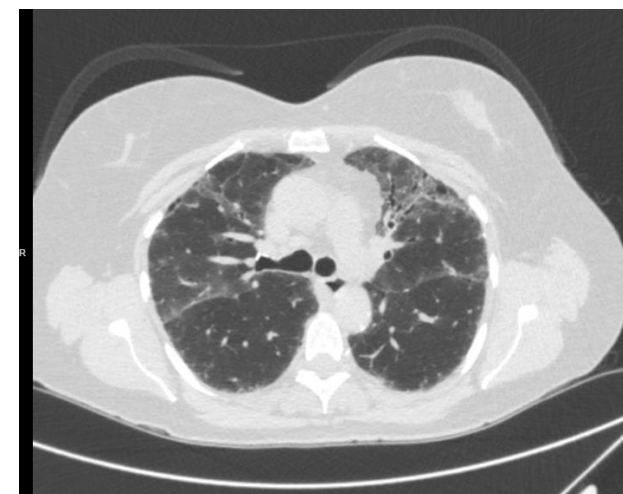
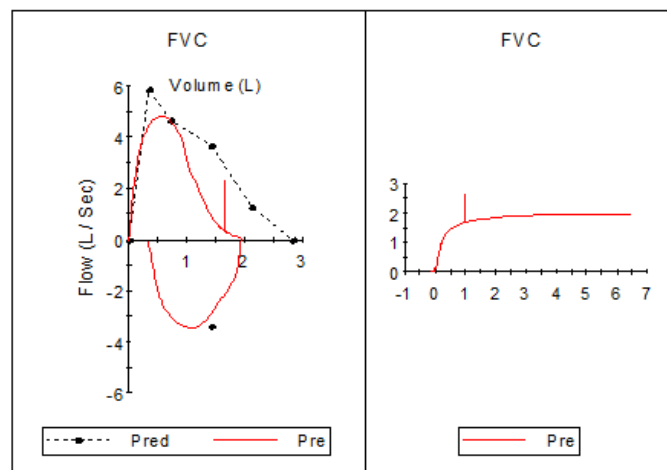


- 48 year old woman, post-COVID, BMI = 48
- Simple restriction
- Low DLCO (mild) from low VA, high KCO
- Effects of obesity

ERS-ATS D_LCO Interpretation Algorithm

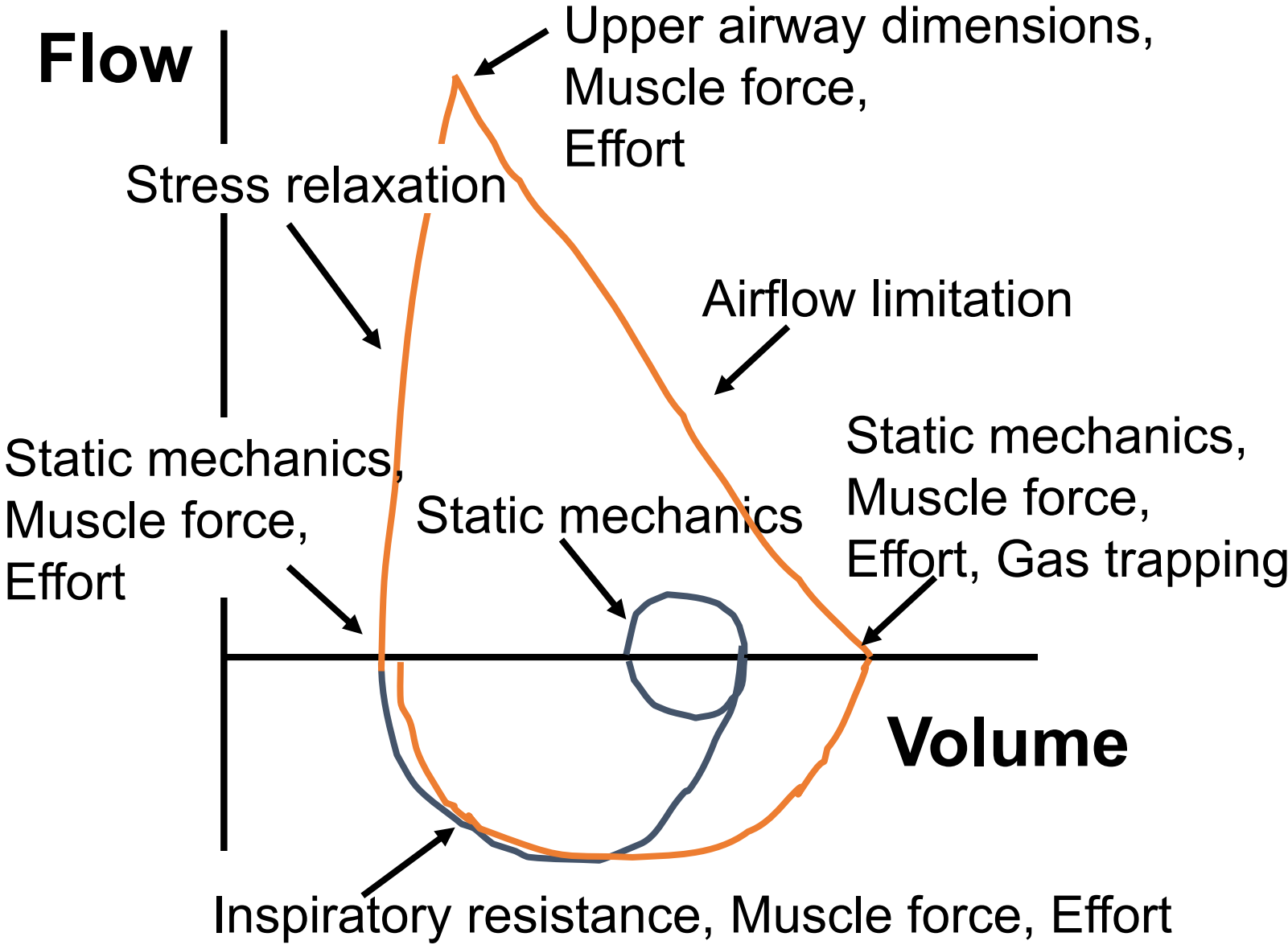


	Pre-BD				
	Actual	LLN	Z Score	Pred	%Pred
---- SPIROMETRY ----					
FVC (L)	1.95	2.17	-2.20	2.84	68
FEV1 (L)	1.68	1.72	-1.78	2.26	74
FEV1/FVC (%)	86.14	68.19	+0.96	80.06	107
TestGrade(ATS)	AA				
FEF 25-75% (L/sec)	2.33	1.14	+0.20	2.18	106
PEF (L/min)	293.4				
---- Slow Vital Capacity ----					
SVC (L)	1.97	2.17	-2.14	2.84	69
IC (L)	1.33			2.00	66
ERV (L)	0.64			0.84	76
FEV1/SVC (%)	85.00			79.71	106
---- LUNG VOLUMES ----					
TLC (Pleth) (L)	3.08	3.45	-2.70	4.53	67
TGV (L)	1.75	1.48	-1.49	2.53	69
RV (Pleth) (L)	1.10	1.01	-1.75	1.77	62
RV/TLC (Pleth) (%)	35.84	27.56	-0.48	38.48	93
---- AIRWAYS RESISTANCE ----					
Raw (cmH2O/L/s)	1.53	1.15	-0.76	1.86	82
sGaw (1/cmH2O*s)	0.31	0.14	+2.80	0.20	149
---- DIFFUSION ----					
DLCOunc (ml/min/mmHg)	10.13	13.99	-3.54	18.18	55
DLCOcor (ml/min/mmHg)		13.99		18.18	
VA (L)	3.07	3.38	-2.33	4.17	73
IVC (L)	1.94				
Kco (ml/min/mmHg/L)	3.29	3.41	-1.84	4.40	74
TestGrade(DLCO)	AA				



- 58 year old woman. SOB. BMI 28
- Simple restriction
- Low DLCO (moderate) from low VA and low KCO
- Chest CT: interstitial lung disease

Perspective...

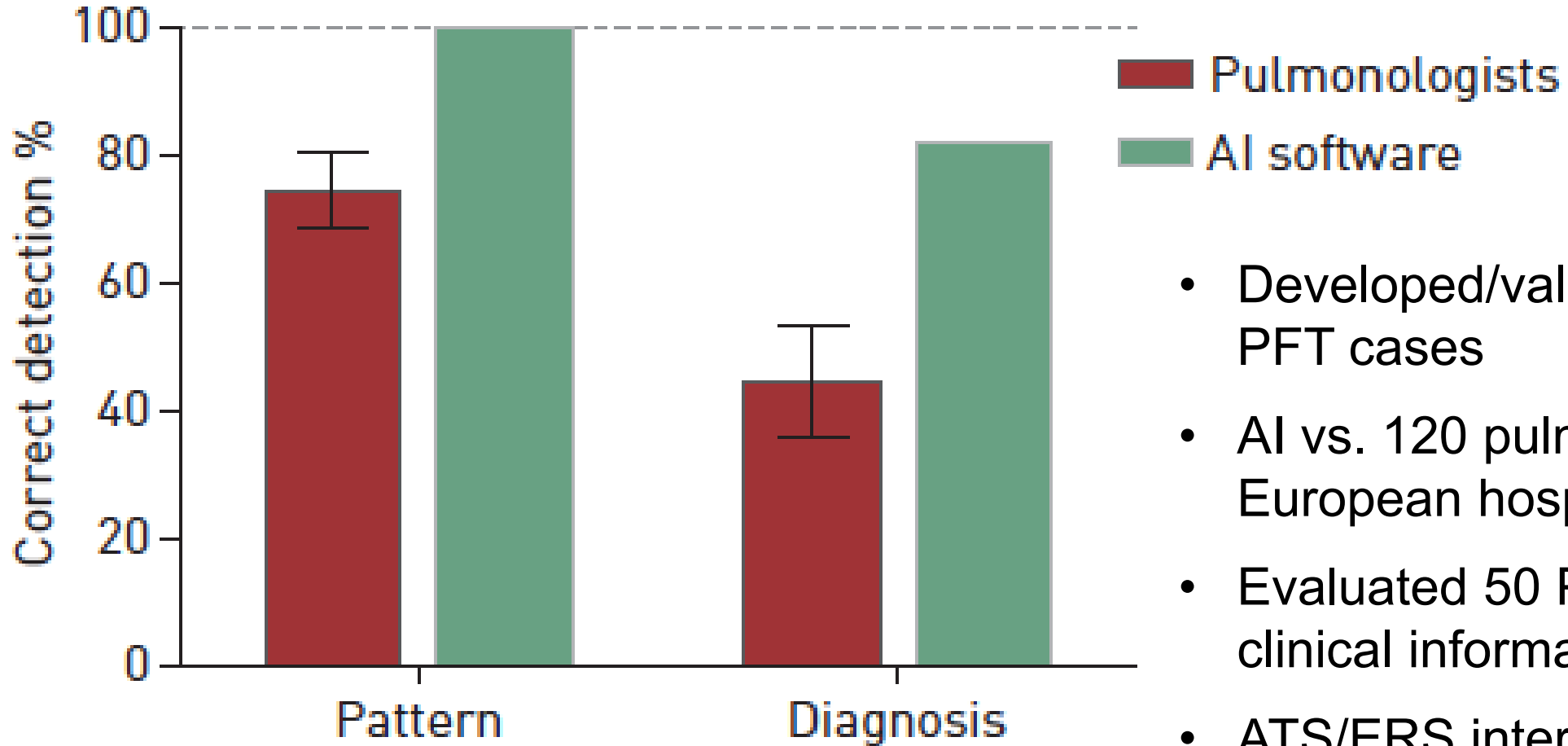


What About AI?

Artificial intelligence outperforms pulmonologists in the interpretation of pulmonary function tests



Topalovic, ERJ 2019



- Developed/validated from 1500 PFT cases
- AI vs. 120 pulmonologists/16 European hospitals
- Evaluated 50 PFT cases with clinical information
- ATS/ERS interpretation algorithm = gold standard

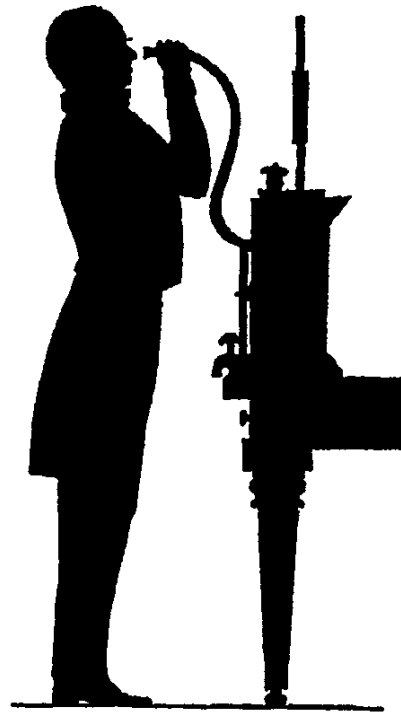
Questions and Discussion

Thank you!



John Hutchinson (1846)
“Father of the Spirometer”

Position of the body in filling the chest before breathing into the Spirometer.



Low breathing capacity predicted poor survival: the “vital capacity”

Breathing capacity varies with height, different populations